

Sterile neutrinos

LSND/MiniBooNE puzzle. Reactor Antineutrino Anomaly. Gallium Anomaly.

Katarzyna Grzelak

Department of Particles and Fundamental Interactions
University of Warsaw

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Sterile neutrino:

- name introduced by B.Pontecorvo in 1967
- neutral lepton that does not take part in the weak interactions
- theoretically well motivated (ν mass generation mechanism)
- can take part in neutrino oscillations

Probability of $\nu_\alpha \rightarrow \nu_\beta$ appearance in model with two neutrinos

$$P_{\nu_\alpha \rightarrow \nu_\beta} = \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2 L}{4E} \right)$$

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

Neutrino oscillation matrix

Extended oscillation matrix:

$$|\nu_j\rangle = \sum_{\alpha=e,\mu,\tau} U_{\alpha j} |\nu_\alpha\rangle.$$
$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} & \dots \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} & \dots \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} & \dots \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}.$$

$|U_{\alpha j}|^2$, describe the neutrino flavour- α fraction of ν_j

- Anomalous disappearance of one flavour of neutrinos:

$$\nu_\alpha \rightarrow \nu_\alpha$$

- Anomalous appearance of ν_β in a beam of ν_α :

$$\nu_\alpha \rightarrow \nu_\beta$$

LSND/MiniBooNE puzzle

LSND - Liquid Scintillator Neutrino Detector

- LSND - experiment at Los Alamos Meson Physics Facility, 1993-1998
- Search for oscillations $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$
- $\bar{\nu}_\mu$ from μ^+ decay at rest
- Detector: 167 t of liquid scintillator (mineral oil with a small admixture of butyl scintillant)
- Cherenkov and scintillation light

The LSND Experiment

LSND took data from 1993-98

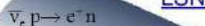
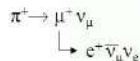
Neutrino oscillation probability:

$$P(\nu_\mu \rightarrow \nu_e) = \sin^2 2\theta \sin^2(1.27 \Delta m^2 L/E)$$

800 MeV proton beam from LANSCE accelerator

Water target

Copper beamstop



LSND Detector

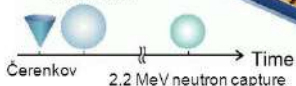
Baseline of 30 meters

Energy range of 20 to 55 MeV

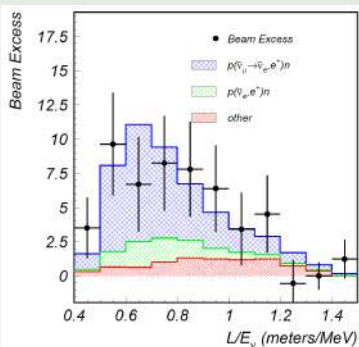
L/E of about 1m/MeV

LSND's Signature

Scintillation



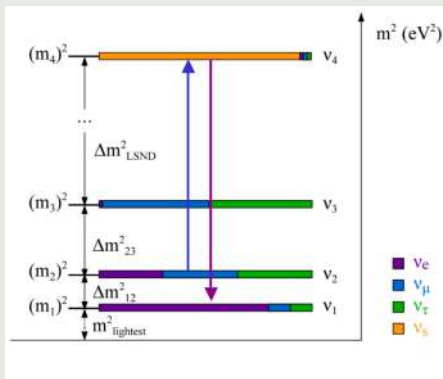
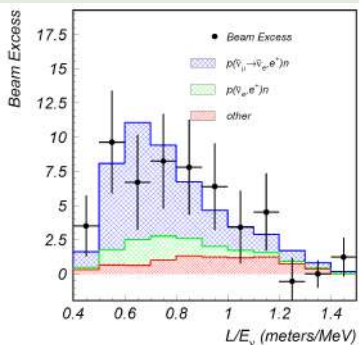
LSND anomaly- excess of $\bar{\nu}_e$ in a beam of $\bar{\nu}_\mu$



- Excess of $87.9 \pm 22.4 \pm 6.0$ events
- Corresponds to oscillation probability $(0.264 \pm 0.067 \pm 0.045)\%$
- 3.8σ evidence for oscillation

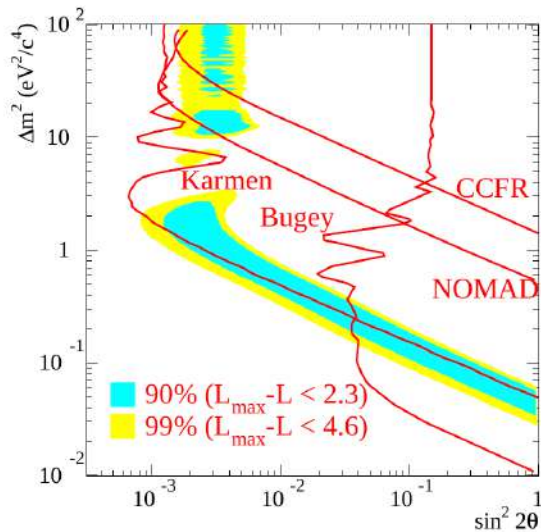
Hints for eV-scale neutrinos

LSND anomaly- excess of $\bar{\nu}_e$ in a beam of $\bar{\nu}_\mu$



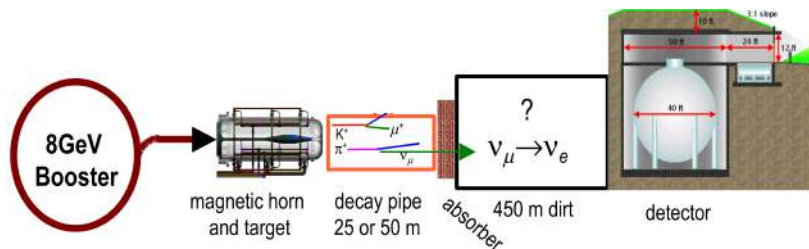
⇒ if mixing with sterile neutrinos then additional mass state is needed

LSND anomaly



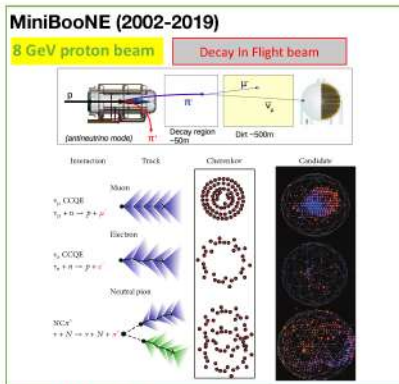
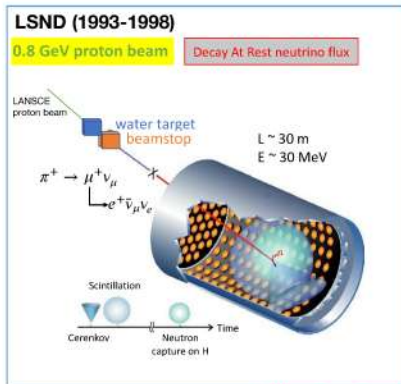
LSND allowed regions (yellow and turquoise) vs excluded (red lines)

MiniBooNE – constructed to confirm or refute LSND result



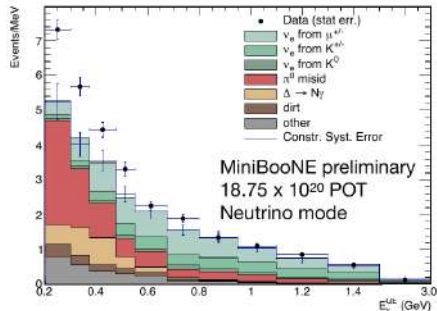
- MiniBooNE - experiment at Fermilab, 2002-2012 and 2016-2019
- Search for oscillations $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ and $\nu_\mu \rightarrow \nu_e$
- $\bar{\nu}_\mu$ and ν_μ from π decay in flight
- Detector: 800 t of mineral oil
- Cherenkov and scintillation light

Comparing MiniBooNE and LSND



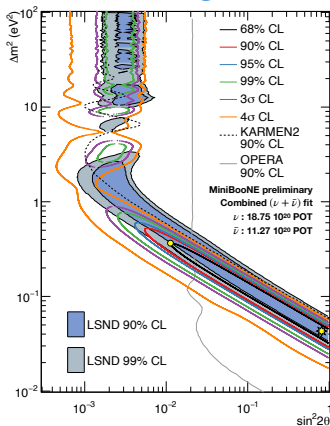
Different systematics. Same L/E baseline.

MiniBooNE anomaly- excess of ν_e ($\bar{\nu}_e$) in a beam of ν_μ ($\bar{\nu}_\mu$)

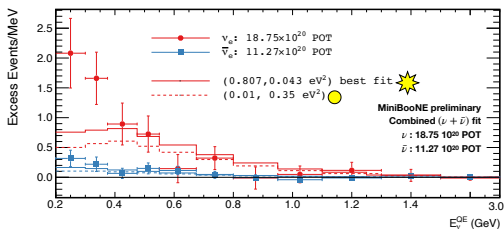


- Excess of $560.6.9 \pm 119.6$ events (neutrino mode)
- Significance 4.7σ in neutrino mode only

Preferred regions in sterile neutrino hypothesis



- Neutrino mode excess 4.7σ ,
- **Neutrino+Anti-neutrino modes excess : 4.8σ**



Neutrino + Anti-Neutrino Mode

$$(\Delta m^2, \sin^2 2\theta) = (0.043 \text{ eV}^2, 0.807)$$

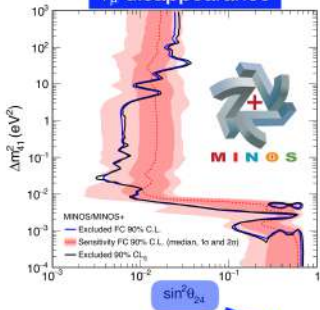
$$\chi^2/ndf = 21.7/15.5 \text{ (prob} = 12.3\%)$$

Exclusion plots from MINOS, MINOS+ and Daya Bay experiments

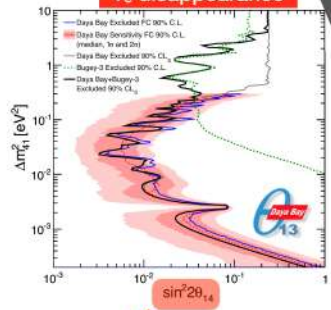


MINOS+/Daya Bay/Bugey-3 Exclusion

ν_μ disappearance



$\bar{\nu}_e$ disappearance



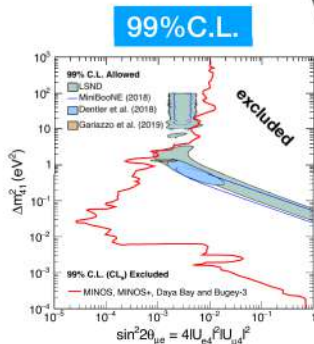
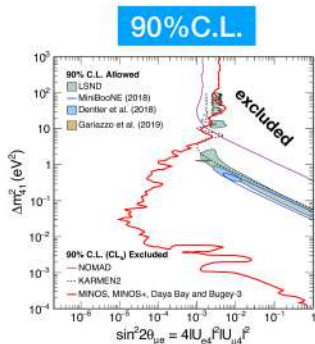
$$4|U_{e4}|^2|U_{\mu4}|^2 = \sin^2 \theta_{24} \sin^2 2\theta_{14} \equiv \sin^2 2\theta_{\mu e}$$

arXiv:2002.00301 (accepted by PRL)

Exclusion plots from MINOS, MINOS+ and Daya Bay experiments



MINOS+/Daya Bay/Bugey-3 Exclusion



arXiv:2002.00301 (accepted by PRL)

RAA (Reactor Antineutrino Anomaly)

Two methods to predict the reactor $\bar{\nu}_e$ flux:

Summation method

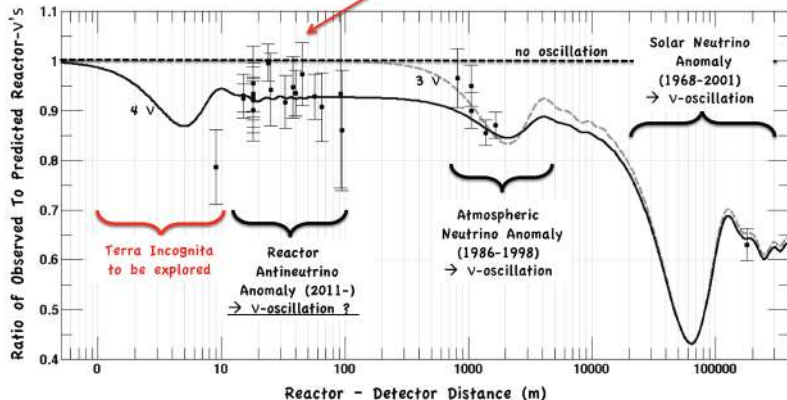
- Summing spectra of all decay branches of all fission isotopes
- Based on nuclear database
- Larger uncertainty (10-20%)

Conversion method

- Uses measured electron spectra associated with ^{235}U , ^{238}U , ^{239}Pu , ^{241}Pu .
- $\bar{\nu}_e$ spectra deduced from electron spectra
- Flux recalculated with this method → reactor anomaly

Hints for eV-scale neutrinos

- Observed/predicted averaged event ratio: $R=0.927\pm 0.023$ (3.0σ)



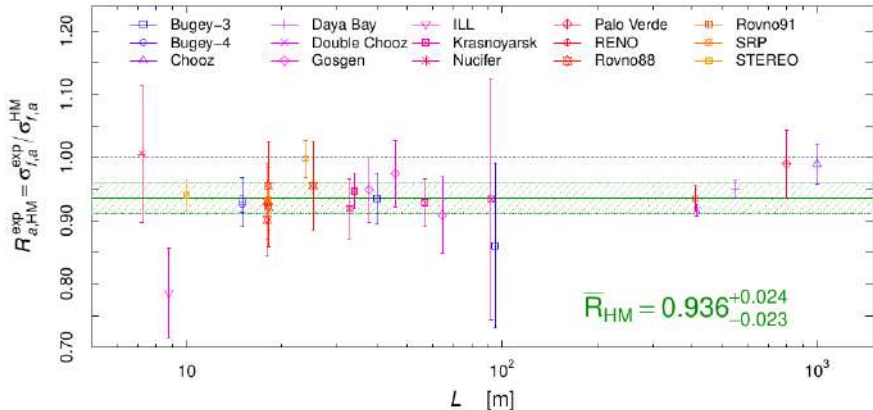
Th. Lasserre - Neutrino 2012

8

Data vs theory. 3-flavour (dashed line) and 3+1 (solid line) neutrino oscillations.

Hints for eV-scale neutrinos

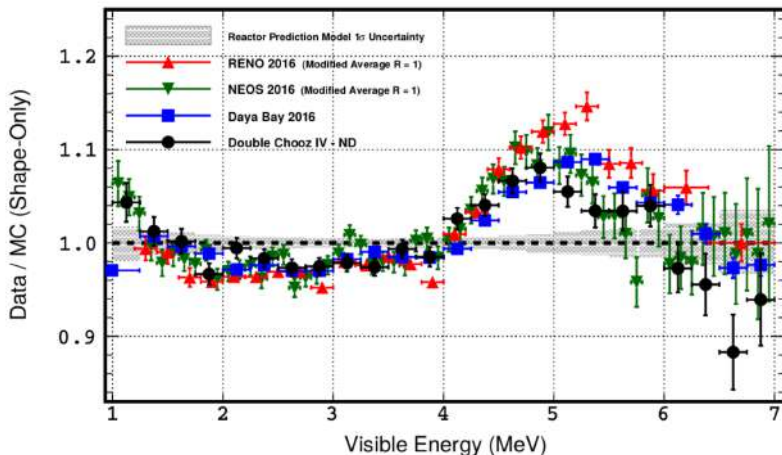
Reactor anomaly: deficit of $\bar{\nu}_e$ flux at short distances from reactors



HM = Huber (Phys.Rev.C84,024617 (2011)), Mueller et al. (Phys.Rev.C83,054615

Reactor anomaly - new questions

Origin of energy spectral distortion between 4 and 6 MeV ?

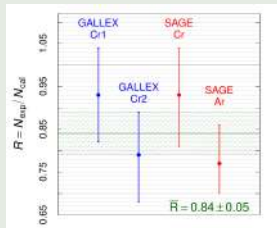


Gallium Anomaly

Hints for eV-scale neutrinos – radiochemical neutrino detection experiments

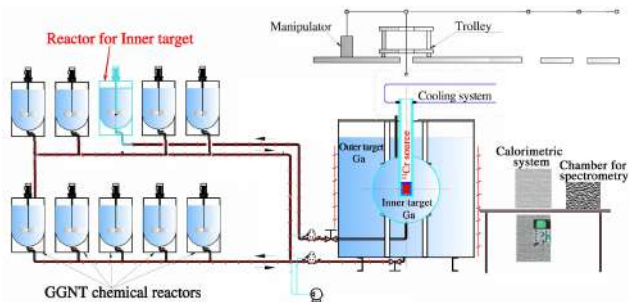
Gallium anomaly

- GALLEX (Gran Sasso, Italy), SAGE(Baksan, Russia)
- Experiments designed to study ν_e from the Sun.
 $\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$
- Tests with radioactive sources ${}^{51}\text{Cr}$ and ${}^{37}\text{Ar}$:
 $e^- + {}^{51}\text{Cr} \rightarrow {}^{51}\text{V} + \nu_e, \quad e^- + {}^{37}\text{Ar} \rightarrow {}^{37}\text{Cl} + \nu_e$



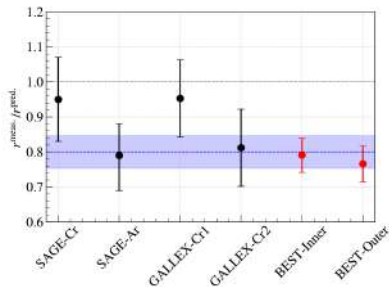
2021 results

Gallium anomaly - BEST experiment



- Baksan Neutrino Observatory in Caucasus mountains in Russia
- 2 containers with liquid Gallium (inner sphere, $r=66.75\text{cm}$; outer cylinder, radius 109cm)
- $\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$

Gallium anomaly - BEST experiment



Assuming electron-sterile mixing,
best fit oscillation parameters:

- BEST: $\Delta m^2 = 3.3eV^2$,
 $\sin^2 2\theta = 0.42$
- BEST,GALLEX,SAGE:
 $\Delta m^2 = 1.25eV^2$,
 $\sin^2 2\theta = 0.34$

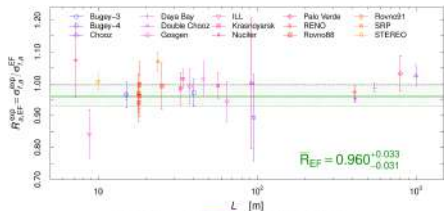
TABLE XII. Results of all six Ga source experiments.

Experiment	R
SAGE-Cr 24	0.95 ± 0.12
SAGE-Ar 25	0.79 ± 0.095 (+0.09 / -0.10)
GALLEX-Cr1 27	0.953 ± 0.11
GALLEX-Cr2 27	0.812 ± 0.11
BEST-Inner	0.791 ± 0.05
BEST-Outer	0.766 ± 0.05

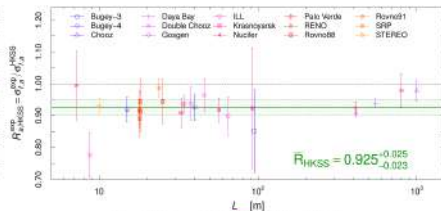
arXiv:2201.07364,
arXiv:2109.11482

New reactor $\bar{\nu}_e$ flux predictions

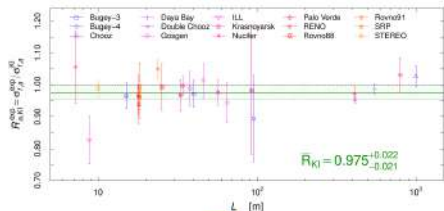
Reactor anomaly - various new theoretical predictions



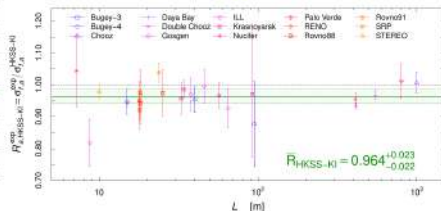
(a) EF model [18]: no RAA (1.2σ).



(b) HKSS model [22]: RAA (2.9σ).



(c) KI model [24]: no RAA (1.1σ).

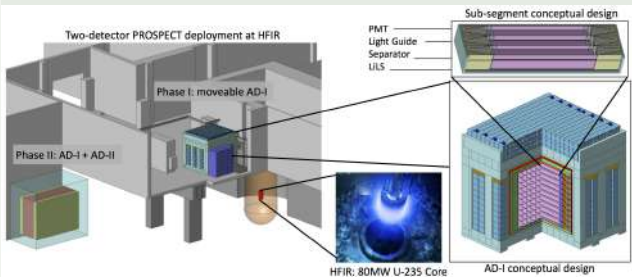


(d) HKSS-KI model: no RAA (1.5σ).

KI – based on new measurements of the ratio of cumulative β spectra from ^{235}U and ^{239}Pu
 arXiv:2110.06820

Reactors at very short baselines (6-12m) – PROSPECT

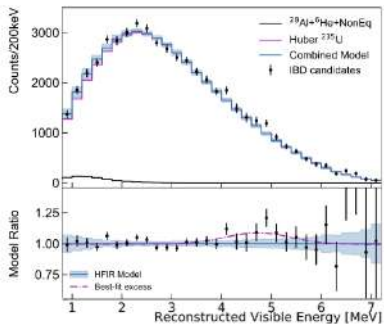
- Experiment at Oak Ridge National Laboratory, US
- 85 MW High Flux Isotope Reactor (HFIR)
- Fuel: ^{235}U



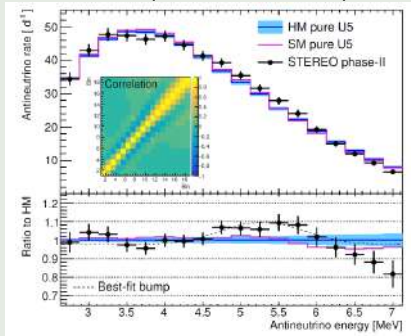
Segmented, 4-ton liquid scintillator detector. Current results with baselines between 6.7 and 9.2 meters.

Reactors at very short baselines (6-12m)

PROSPECT (Oak Ridge National Laboratory)

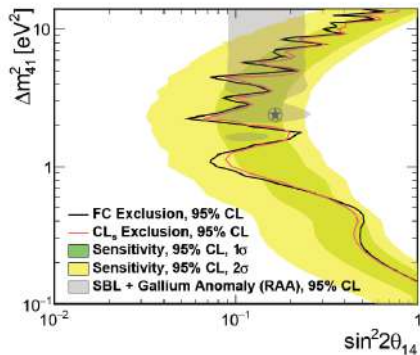


STEREO (ILL-Grenoble)

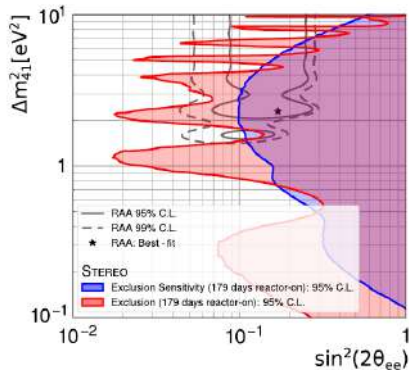


Reactors at very short baselines (6-12m)

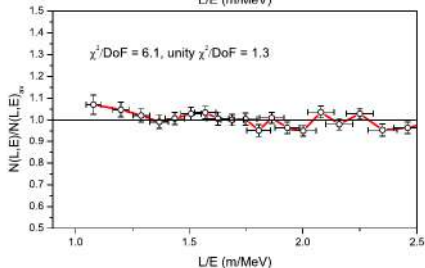
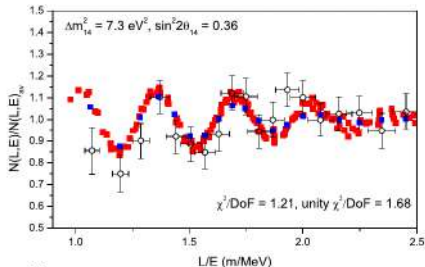
PROSPECT



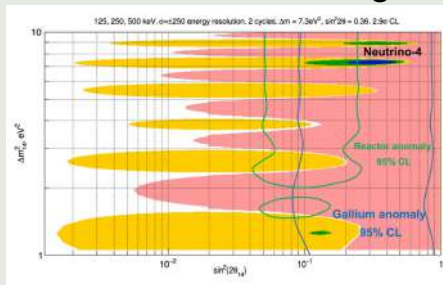
STEREO



Reactors at very short baselines

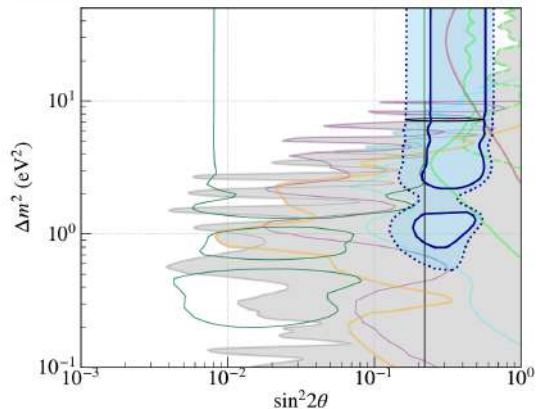
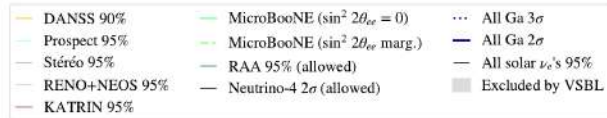


Neutrino-4 allowed regions



Baseline 6-12m
2.9 σ evidence for
oscillation

Gallium vs reactor experiments



arXiv:2201.07364, arXiv:2109.11482

Results from global analysis of gallium and reactor data

- Analysis based on DANSS, NEOS, PROSPECT, STEREO, Neutrino-4, SAGE, GALLEX and BEST data
- Very short baseline reactor data consistent with no-oscillations
- Gallium data - deficit $> 5\sigma$, compatible with Neutrino-4 result ($\Delta m^2 \simeq 7 - 12 eV^2$)
- Gallium data are in tension with solar data

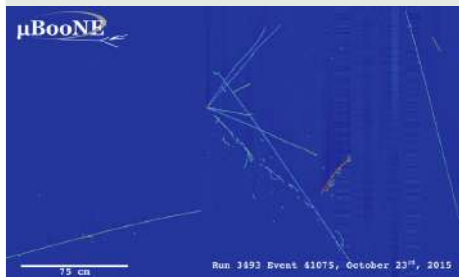
J.M.Berryman,P.Coloma,P.Huber,T.Schwetz,A.Zhou, arXiv:2111.12530, Nov 2021

MicroBooNE - checks of MiniBooNE low energy excess

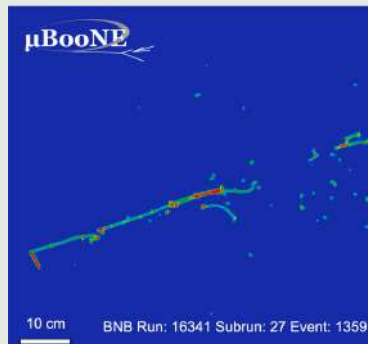
- 170-ton liquid argon TPC (LArTPC)
- located at the same beam (BNB) as MiniBooNE
- $L=470\text{m}$, $\langle E_\nu \rangle = 0.8\text{GeV}$



MicroBooNE - checks of MiniBooNE low energy excess



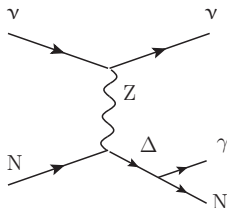
Much better particle identification.
Can distinguish electrons from photons.



Electron neutrino event (electron shower + proton track)

MicroBooNE - checks of MiniBooNE low energy excess

Two samples: $1\gamma 1p$ and $1\gamma 0p$

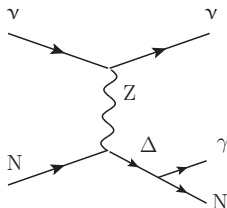


No excess in neutral-current delta radiative decay single photon channel

arXiv:2110.00409

MicroBooNE - checks of MiniBooNE low energy excess

Two samples: $1\gamma 1p$ and $1\gamma 0p$

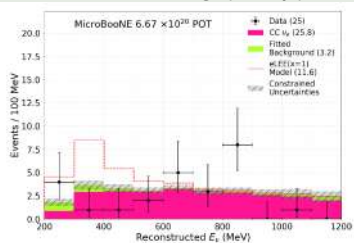


No excess in neutral-current delta radiative decay single photon channel

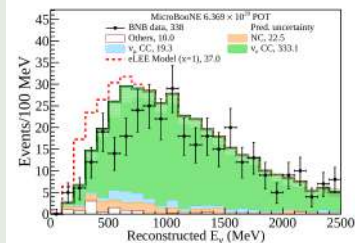
arXiv:2110.00409

MicroBooNE - checks of MiniBooNE low energy excess

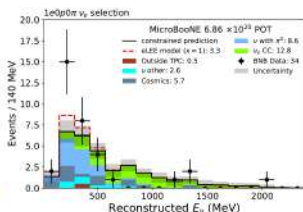
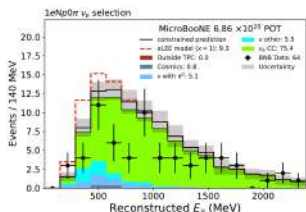
CCQE scattering (1e1p)



Inclusive ν_e scattering (1eX)



Pionless ν_e scattering (1eNp0 π , 1e0p0 π)

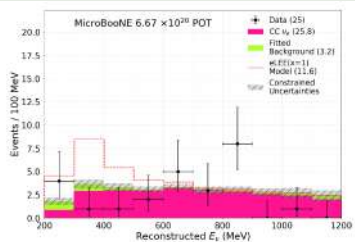


No excess in
single-electron
channels

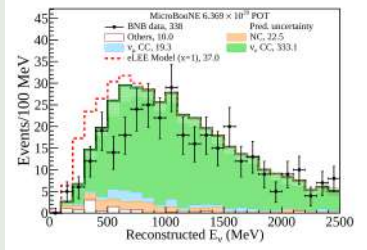
arXiv:2110.14054

MicroBooNE - checks of MiniBooNE low energy excess

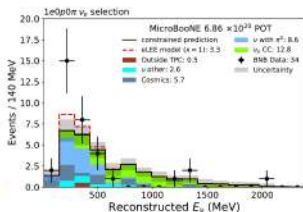
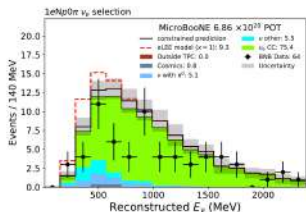
CCQE scattering (1e1p)



Inclusive ν_e scattering (1eX)



Pionless ν_e scattering (1eNp0 π , 1e0p0 π)



No excess in single-electron channels

arXiv:2110.14054

Hints for eV-scale neutrinos - summary from 2018

Experiment	Source	Channel	Significance
LSND	μ^+ decay at rest	$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$	3.8σ
MiniBooNE	accelerator	$\nu_\mu \rightarrow \nu_e$	3.4σ
MiniBooNE	accelerator	$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$	2.8σ
Reactors	beta-decays	$\bar{\nu}_e$ disapp.	3.0σ
GALLEX,SAGE	radioactive source, electron capture	ν_e disapp.	2.9σ

All anomalies could be explained by the existence of eV-scale neutrino

Hints for eV-scale neutrinos - summary from 2021

Experiment	Source	Channel	Significance
LSND	μ^+ decay at rest	$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$	3.8σ
MiniBooNE	accelerator	$\nu_\mu \rightarrow \nu_e$	4.7σ
MiniBooNE	accelerator	$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$	2.8σ
Reactors	beta-decays	$\bar{\nu}_e$ disapp.	$1.1\sigma - 2.9\sigma$
BEST, GALLEX, SAGE	radioactive source, electron capture	ν_e disapp.	$> 5\sigma$

- Three experimental anomalies are still present
- Each of them can be explained by mixing with sterile neutrinos
- More new questions than answers

Experiments interested in sterile neutrino physics

- 2021 results from MicroBooNE (Fermilab,US), PROSPECT (Oak Ridge, US), STEREO (ILL-Grenoble), BEST (Baksan, Russia), Neutrino-4 (Russia)
- Earlier sterile neutrino related results (>2017) from MINOS,MINOS+,NOvA (Fermilab,US), IceCube (South Pole), Daya Bay (China), RENO (Korea), T2K (Japan), NEOS (Korea), DANSS (Russia), KATRIN (Karlsruhe,Germany)
- Dedicated future experiments: SBN (Fermilab), nuPRISM (J-PARC), JSNS (J-PARC), KPipe (J-PARC), SoLid (Belgium), IsoDAR@KamLAND ...
- Big future experiments that plan to search for sterile neutrinos: DUNE, HYPER-K, JUNO, ESSnuSB