

# Nuclear Collective Excitations and Realistic Models

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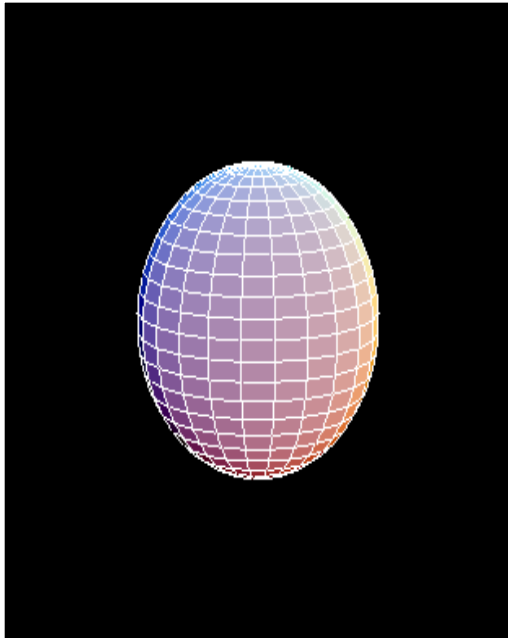


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Uni. Johannesburg*

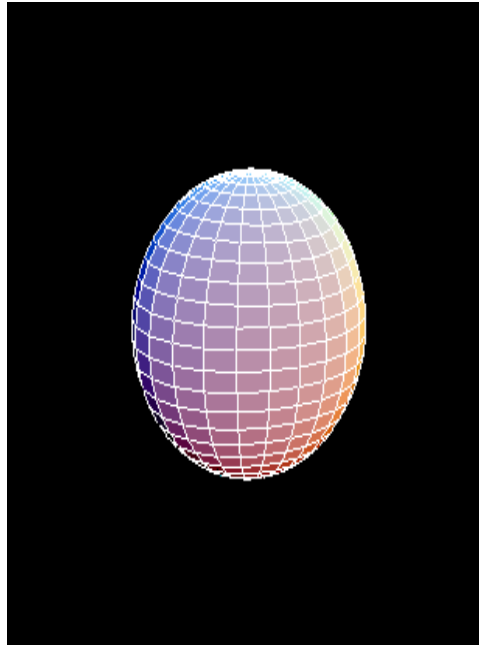
*Review Article; EPJA55 (2019) 15*

*“Stiff” Deformed Nuclei, Configuration Dependent Pairing and the  $\beta$  and  $\gamma$  Degrees of Freedom.*

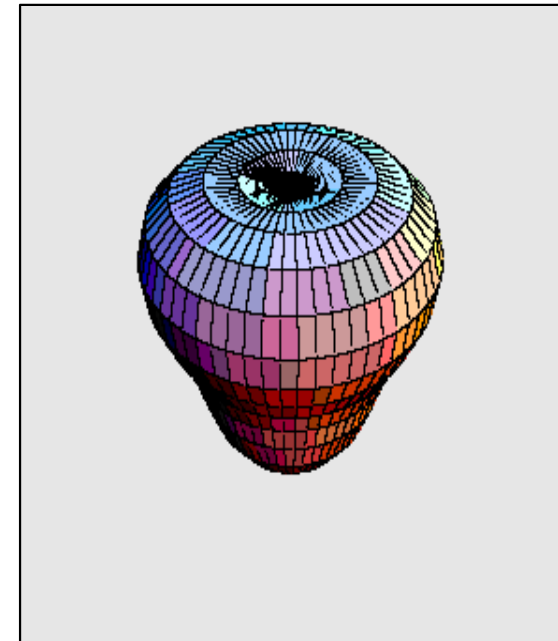
# Examples of collective *Classical time-dependent* Vibrations of the Mean-field



$\lambda = 2, \mathbf{a}_{2,0}$   
**Quadrupole  $\beta$  vibration**



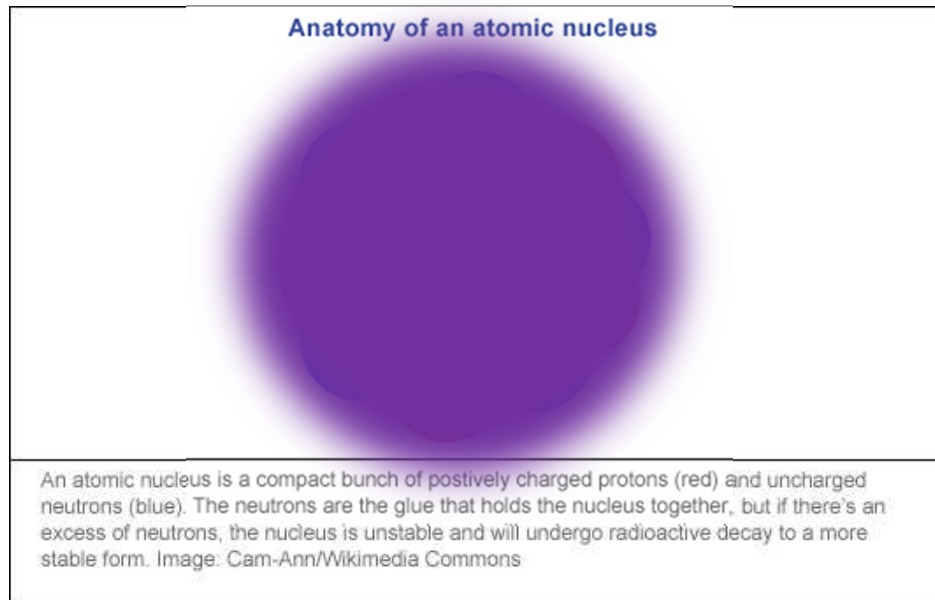
$\lambda = 2, \mathbf{a}_{2,2}$   
**Quadrupole  $\gamma$  vibration**



$\lambda = 3, \mathbf{a}_{3,0}$   
**Octupole vibration**

[web-docs.gsi.de/~wolle/TELEKOLLEG/KERN/index-s.html](http://web-docs.gsi.de/~wolle/TELEKOLLEG/KERN/index-s.html)

*However !! Simple pictures can be Misleading*

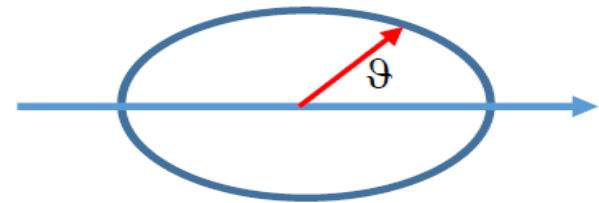


the Heisenberg Uncertainty Principle  
tells us that the **Nucleon-Nucleon Interaction**  
is not Strong Enough to Localise the Nucleons

You can only Measure  $\langle R^2 \rangle$   
*for instance with electron scattering (e,e)*

Assume axially symmetric ( $m=0$ ) Shape  
 Expand shape in Legendre Polynomials

**CLASSICALLY  
 USE  
 DIMENSIONAL  
 ANALYSIS**



$$\mathbf{R}(r,\vartheta) = R \{ 1 + a_2 P_2(\cos\vartheta) + a_3 P_3(\cos\vartheta) \dots \} = R \{ 1 + \sum a_\lambda P_\lambda(\cos\vartheta) \}$$

Consider the Dimensions supposing that  $\omega^2 \propto \gamma^x \rho^y R^z$

$\omega^2$  = frequency<sup>2</sup> of vibration with dimensions  $[T]^{-2}$

$\gamma$  = surface energy/unit area with dimensions  $[M] [L]^2 [T]^{-2} [L]^{-2}$

$\rho$  = density with dimensions  $[M] [L]^{-3}$

$R$  = radius with dimensions  $[L]$

Therefore  $[T]^{-2} = [M]^x [T]^{-2x} [M]^y [L]^{-3y} [L]^z$

So that

$-2 = -2x$	ie.	$x = 1$	}	$\omega^2 \propto \gamma / \rho R^3$
$0 = x+y$	ie.	$y = -1$		
$0 = -3y + z$	ie.	$z = -3$		

# Classical Vibrations of a Liquid Drop

By considering a superfluid incompressible liquid sphere

Lord Rayleigh (John William Strutt) Proc. Roy. Soc. 29,71 (1879) Appendix II Equ. 40, got:

$$\omega^2 = \frac{(\lambda-1)\lambda(\lambda+2)\gamma}{\rho R^3}$$

*Also See:*  
*S Flügge, Ann Phys Lpz 431*  
*(1941) 373*

For a charged spherical nucleus this becomes :

[www.eng.fsu.edu/~dommelen/quantum/style\\_a/nt\\_liqdrop.html](http://www.eng.fsu.edu/~dommelen/quantum/style_a/nt_liqdrop.html)

$$\omega^2 = \frac{(\lambda-1)\lambda(\lambda+2)}{3} \frac{C_s}{R_A^2 m_A} - \frac{2(\lambda-1)\lambda}{(2\lambda+1)} \frac{e^2 Z^2}{4\pi\epsilon_0 R_A^3 m_p A^2}$$

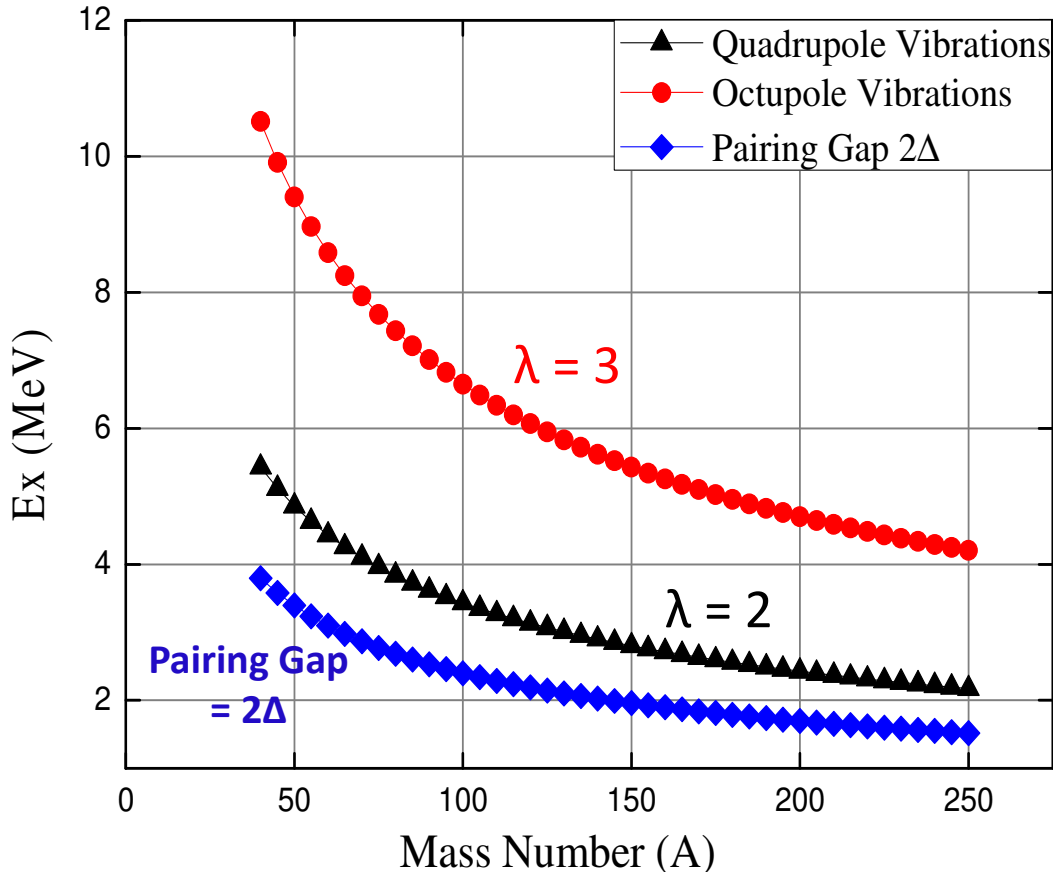
Where  $C_s$  is the SURFACE term in the Weizsäcker Binding Energy formula

$$E_b = C_V - C_S A^{2/3} - C_C Z^2 A^{-1/3} - C_A (N-Z)^2 A^{-1} \pm \delta$$

and the second term has little effect for  $Z < 80$ .

# Quantization of the Vibrations of a Liquid Drop

Classical Resonance Energies of a Vibrating Liquid Drop



Quantize Using  $E_x = \hbar\omega$   
and

$$\omega^2 = (\lambda - 1)\lambda(\lambda + 2)C_s/3R_A^2mA$$

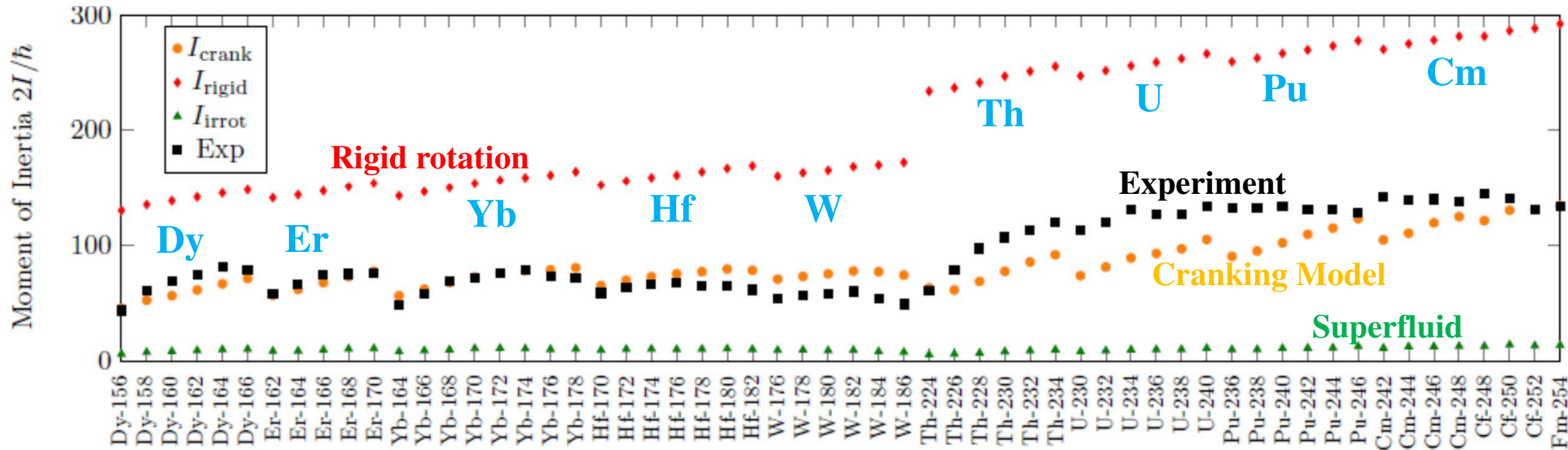
Where  $C_s \sim 18 \text{ MeV}$  and  $R_A = 1.3 \text{ fm}$

*The Classical Result is that*  
Vibrations are WELL above the  
Pairing Gap

Pairing Energy  $\Delta \approx 12/A^{1/2} \text{ MeV}$   
From Bohr and Mottelson

## Other Factors Affecting Vibrations

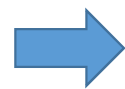
1. Moments-of-Inertia not superfluid ➔ will put vibrational energy UP ↑



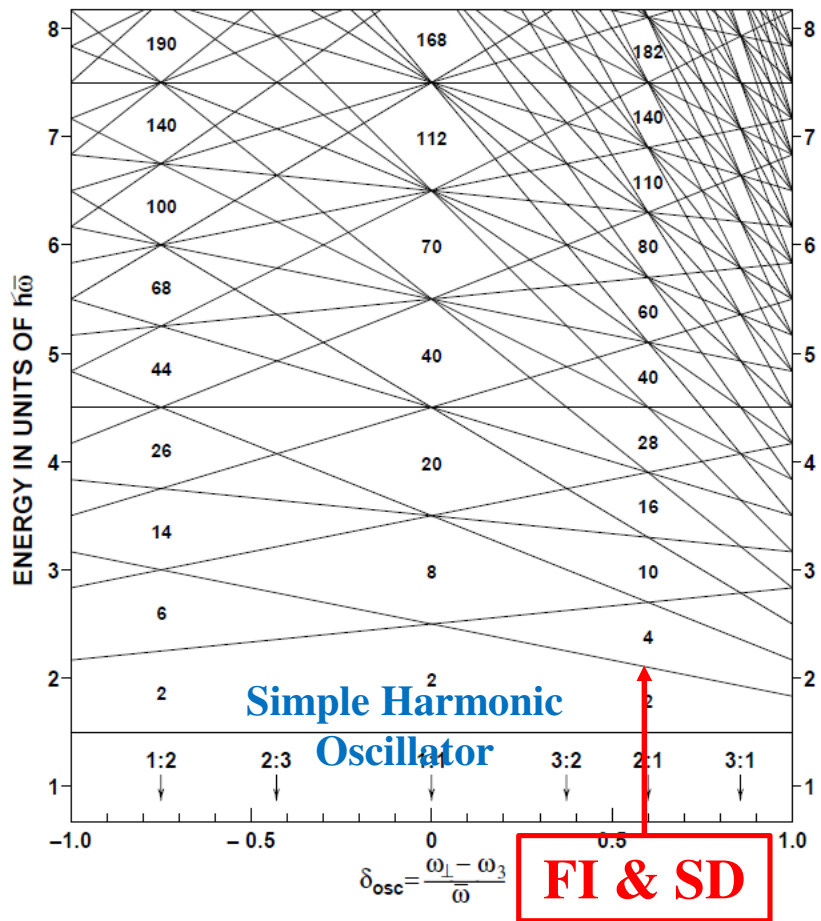
**Fig. 1.** Comparison of the different models for moment of inertia with experimental data.

P Tamagno & O Litaize, EPJ Web of Conf., **193**,01004 (2018)  
**Inglis-Belyaev Cranking code CONRAD**

## 2. Shell Corrections



*will put vibrational energy UP*



→  $\beta$

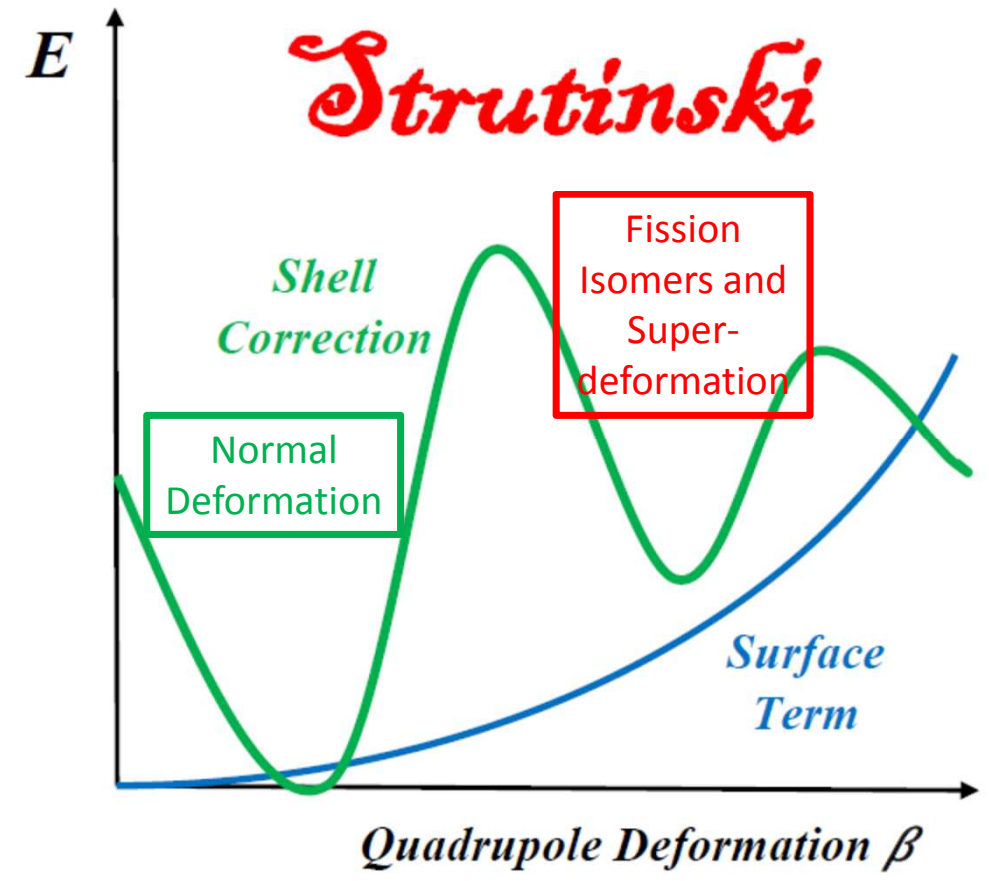
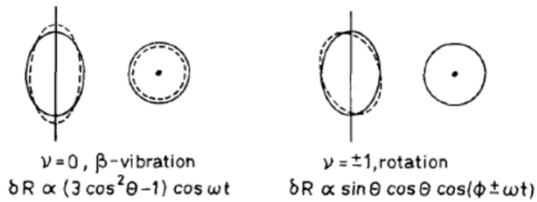


Figure 17. Single-particle level energies calculated for an axially symmetric harmonic oscillator (from reference 18).



# The Bohr and Mottelson Approach

## MODES OF NUCLEAR VIBRATION



Page 363  
 Volume II

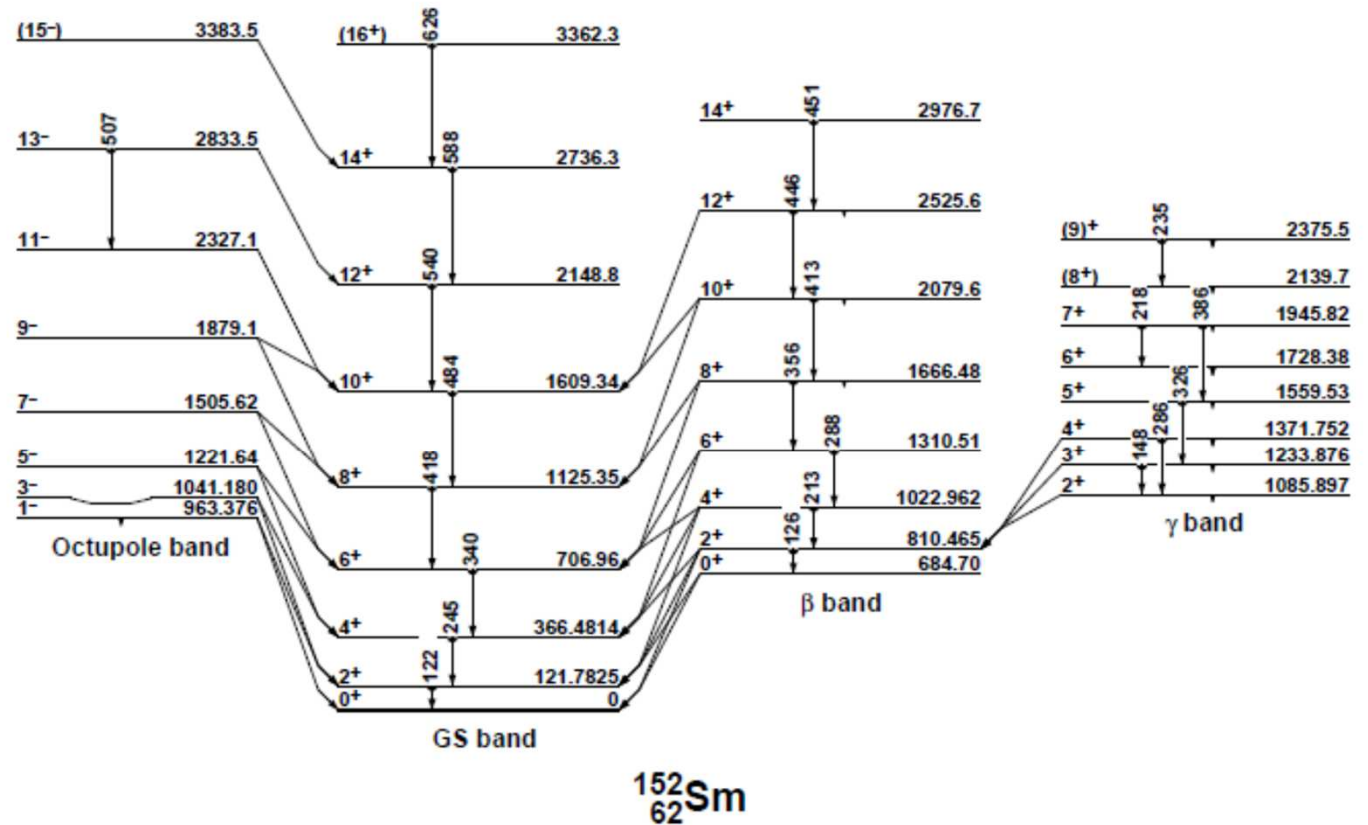
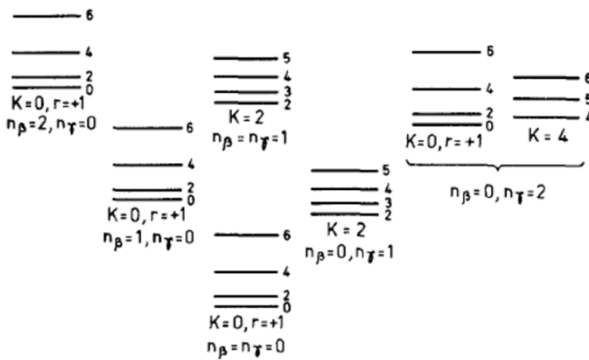
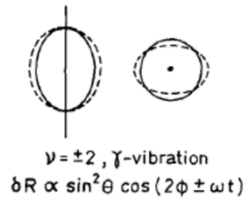
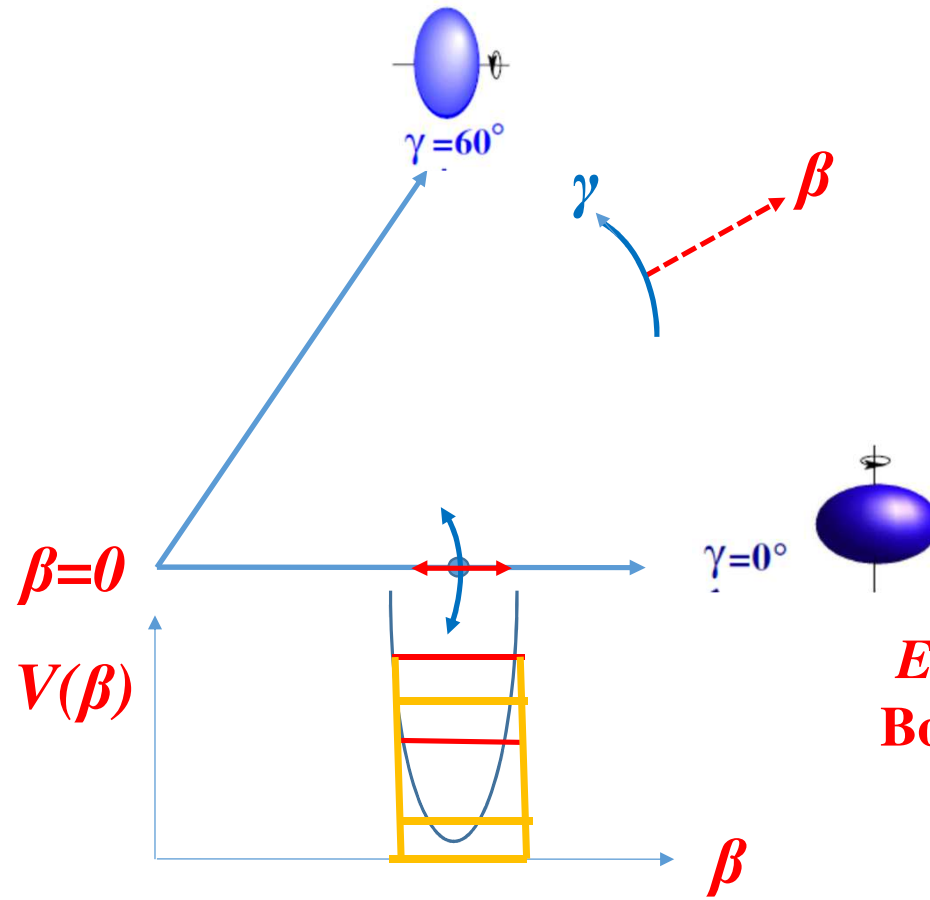
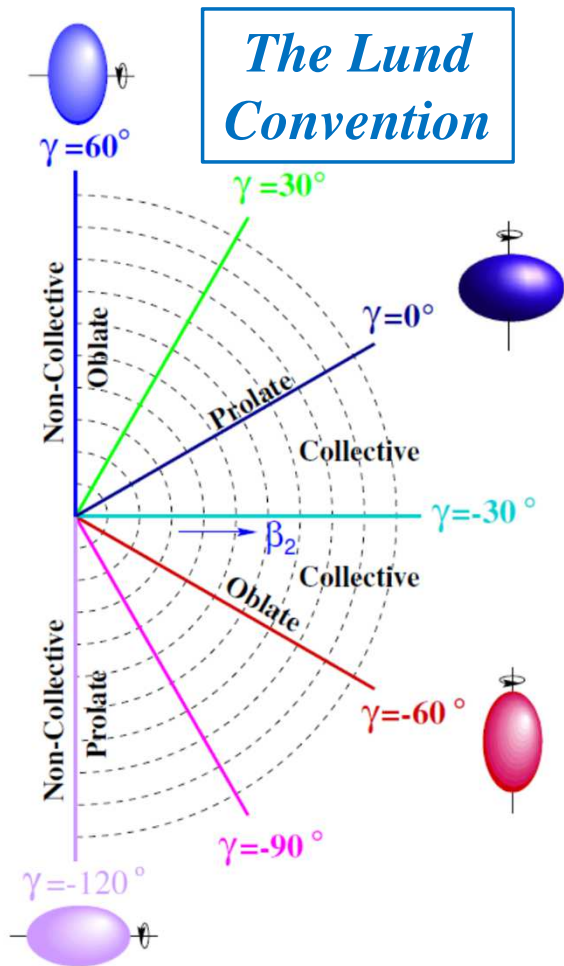


Figure 3. Ground-state, and  $\beta$ -,  $\gamma$ -, and octupole-vibrational bands in  $^{152}\text{Sm}$ .

# The $\beta$ and $\gamma$ Quadrupole Degrees of Freedom



$E_{SHO} = (n+1/2) \hbar\omega$   
Bohr & Mottelson

$E_{SqW} \propto n^2$   
Iachello X(5)

UNEXPECTED STRONG PAIR CORRELATIONS IN EXCITED  $0^+$  STATES OF ACTINIDE NUCLEI\*

J. V. Maher, J. R. Erskine, A. M. Friedman, J. P. Schiffer,† and R. H. Siemssen  
 Argonne National Laboratory, Argonne, Illinois 60439  
 (Received 1 June 1970)

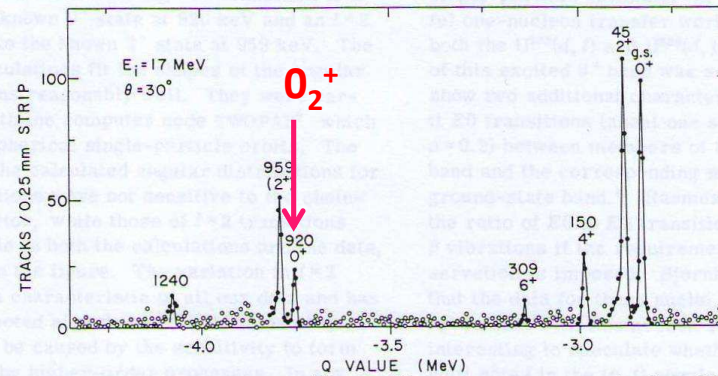
The  $(p, t)$  reaction has been studied with 17-MeV protons on targets of  $\text{Th}^{230}$ ,  $\text{U}^{234, 236, 238}$ , and  $\text{Pu}^{242, 244}$ . The results indicate unexpectedly strong  $l=0$  transitions to states at about 900-keV excitation. Their cross sections are approximately 15% of the ground-state transitions; this percentage does not change appreciably with neutron number. This result, together with other available evidence, seems to suggest a simple and rather stable collective mode which has not yet emerged from any theoretical calculations.

*J V Maher et al.*  
**PRL 25 (1970) 302**

VOLUME 25, NUMBER 5

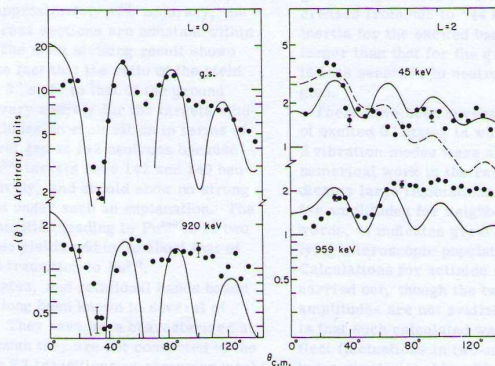
PHYSICAL REVIEW LETTERS

3 AUGUST 1970



$^{238}\text{U}(p,t)^{236}\text{U}$   
 17 MeV  
 $\theta = 30^\circ$

FIG. 1. Spectrum of tritons from the reaction  $\text{U}^{238}(p,t)\text{U}^{236}$ . The target was  $35 \mu\text{g}/\text{cm}^2$  of  $\text{U}^{238}$  evaporated onto a carbon foil. The peaks are labeled by the excitation energies (keV) and spins of the corresponding states in  $\text{U}^{236}$ .



$0_2^+$  NOT a  $\beta$ -vibration  
NOR a pairing vibration

FIG. 2. Angular distributions for the reaction  $\text{U}^{238}(p,t)\text{U}^{236}$ . The relative yields for the various experimental data sets are correctly shown. The cross section at  $\sim 60^\circ$  for the ground-state transition is  $220 \pm 80 \mu\text{b}/\text{sr}$ . The DWBA curves were calculated with a spherical  $3d_{5/2}$  form factor for the solid curves and  $1j_{15/2}$  for the dashed curve. Relative error bars are shown on a few representative points.

# Two Neutron Transfer to $^{154}\text{Gd}$ ( $N=90$ )

**Shiro Yoshida,**  
*Nucl. Phys.* 33, 685  
(1962)

*Showed that with Monopole Pairing ALL the TWO neutron Transfer strength will be Decanted into the Residual Ground State*

**SEE ALSO**

*R.J. Ascutto, B. Sorensen,*  
*Nucl. Phys. A* 190, 297  
(1972)

Shahabuddin et al; *NP A340* (1980) 109

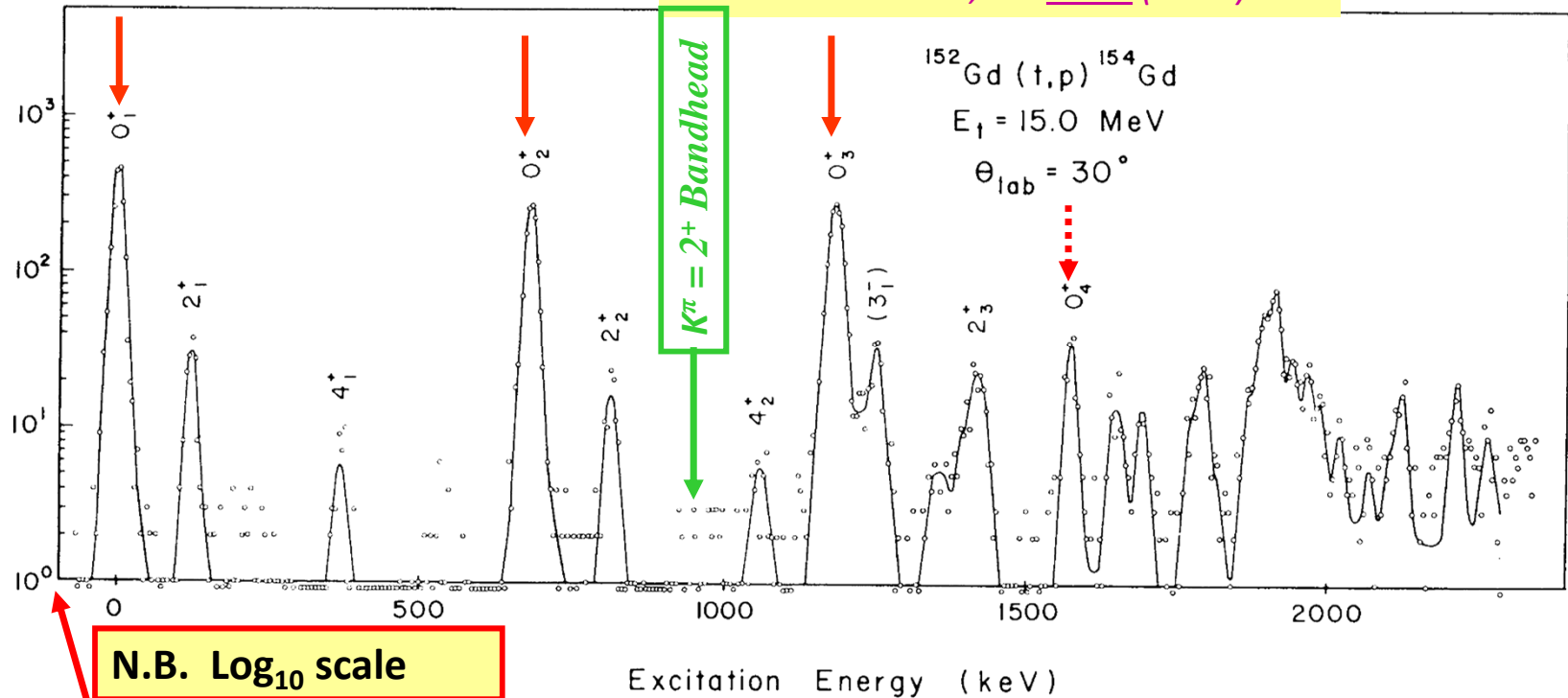
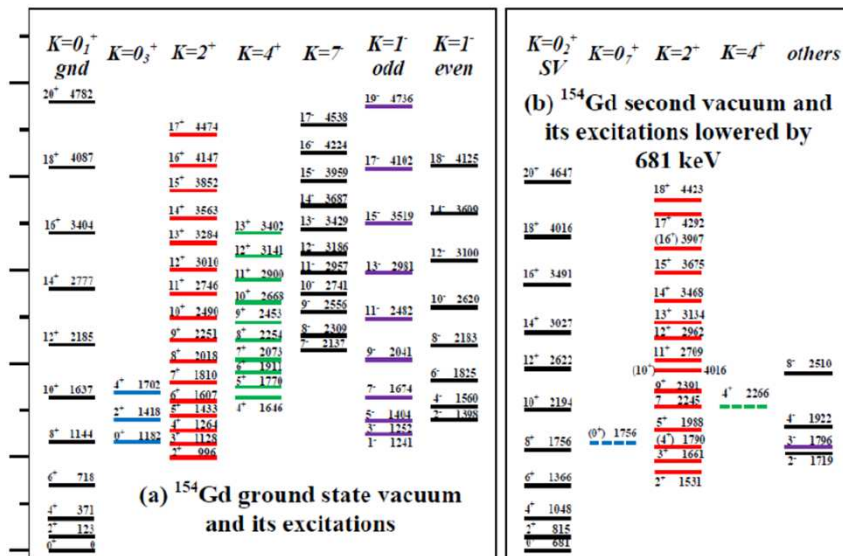
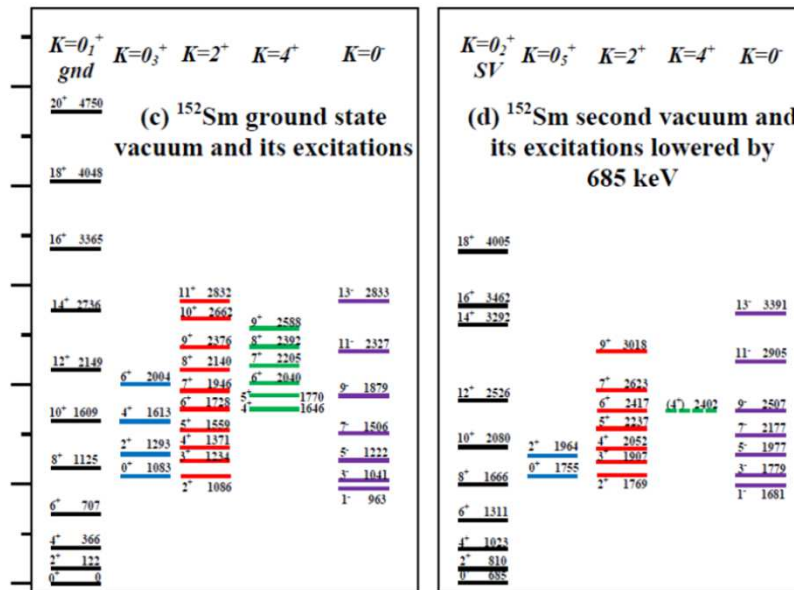


Fig. 1. A sample spectrum of the  $^{152}\text{Gd}(t,p)^{154}\text{Gd}$  reaction at  $E_t = 15 \text{ MeV}$  and  $\theta_{\text{lab}} = 30^\circ$ .

***HENCE Monopole Pairing is NOT Sufficient***



*Similar Structures  
Built on the Ground  
state  $0_1^+$  and Second  
Vacuum  $0_2^+$  state in  
 $^{154}\text{Gd}$  and  $^{152}\text{Sm}$*



## What is the $|0_2^+ \rangle$ Configuration ?

⊗ (t,p) & (p,t)  $\rightarrow$   $|0_2^+ \rangle$  is  $2p_n - 2h_n$

⊗ this gives  $J^\pi$  but nothing on the orbit.

⊗ Single particle transfer would give  $l_n$  but does not populate  $|0_2^+ \rangle$ .

$\rightarrow$  In {  $|0_2^+ \rangle$  + neutron }, look to see which orbit does NOT couple to  $|0_2^+ \rangle$ .

# Configuration Dependent Pairing

R. E. Griffin, A. D. Jackson and A. B. Volkov, Phys. Lett. 36B, 281 (1971).

**Suggested that  $\Delta_{pp} \approx \Delta_{oo} \gg \Delta_{op}$**

**for Actinide Nuclei where  $0_2^+$  states were observed in (p,t) that were not pairing- or  $\beta$ -vibrations.**

Suppose there are  $n$  prolate and  $n$  oblate degenerate levels at the Fermi Surface;

Assume that each pairing matrix element is the same for the same type  $-a$

BUT the prolate-oblate matrix elements are very weak  $-\epsilon a$

Then if the prolate  $n \times n$  matrix is  $A$ , the oblate matrix is also  $A$

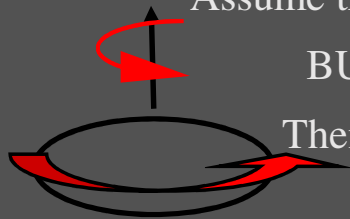
The matrix for the total system is;

$$\begin{bmatrix} A & \epsilon A \\ \epsilon A & A \end{bmatrix}$$

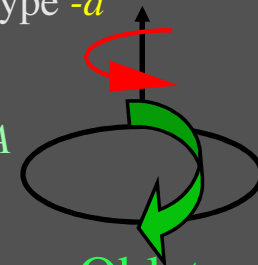
Then there are  $(2n-2)$  states with ZERO energy and 2 states with energies  $(2n-2)$

$$E_{1,2} = -(1 \pm \epsilon) na$$

**I. Ragnarsson and R. A. Broglia, Nucl. Phys. A263, 315 (1976).  
coined the term "pairing isomers" for these  $0_2^+$  states**



Prolate



Oblate



Analysis of the  $(p, t)$  reaction on  $^{158}\text{Dy}^\dagger$

J. J. Kolata  
Brookhaven National Laboratory, Upton, New York 11973

M. Oothoudt\*  
Princeton University, Princeton, New Jersey 08540  
(Received 28 February 1977)

*Jim Kolata and Mike Oothoudt*  
*Phys. Rev. C15 (1977) 1947*

N.B.  
Log<sub>10</sub>  
Scale

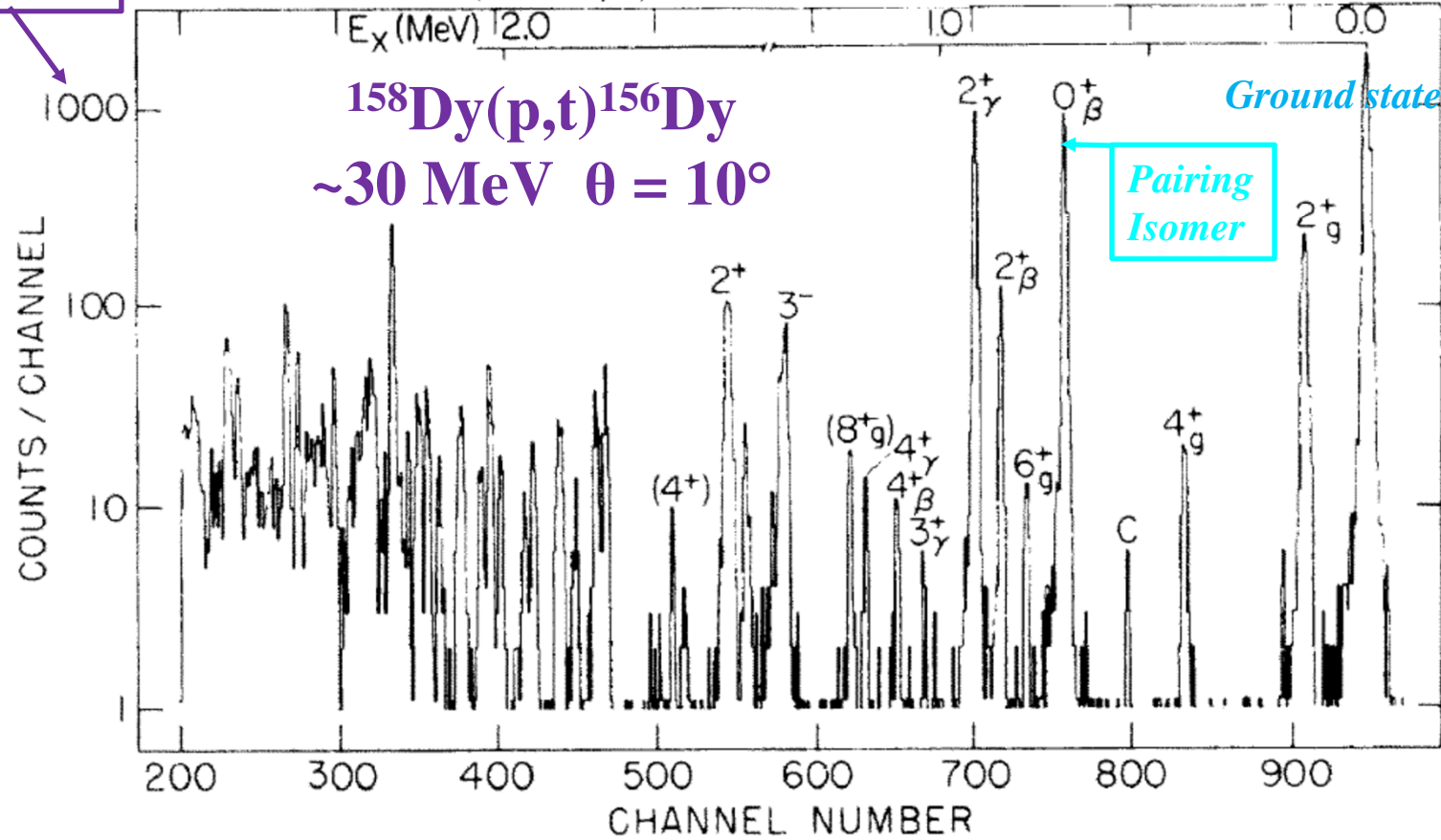
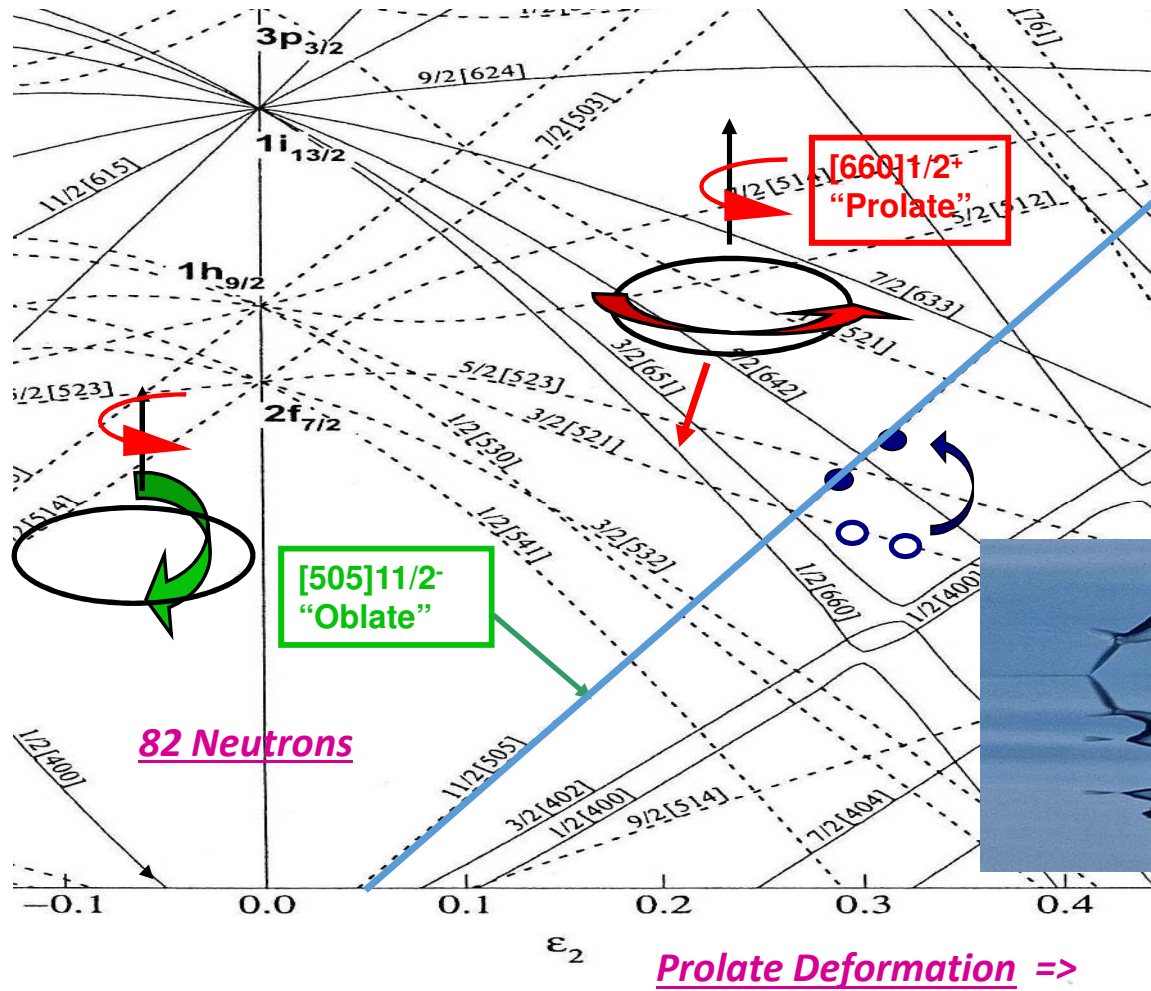


FIG. 1. Typical spectrum for the  $^{158}\text{Dy}(p, t)^{156}\text{Dy}$  reaction at  $E_p = 29.9$  MeV and  $\theta_{\text{lab}} = 10^\circ$ . This is a com-

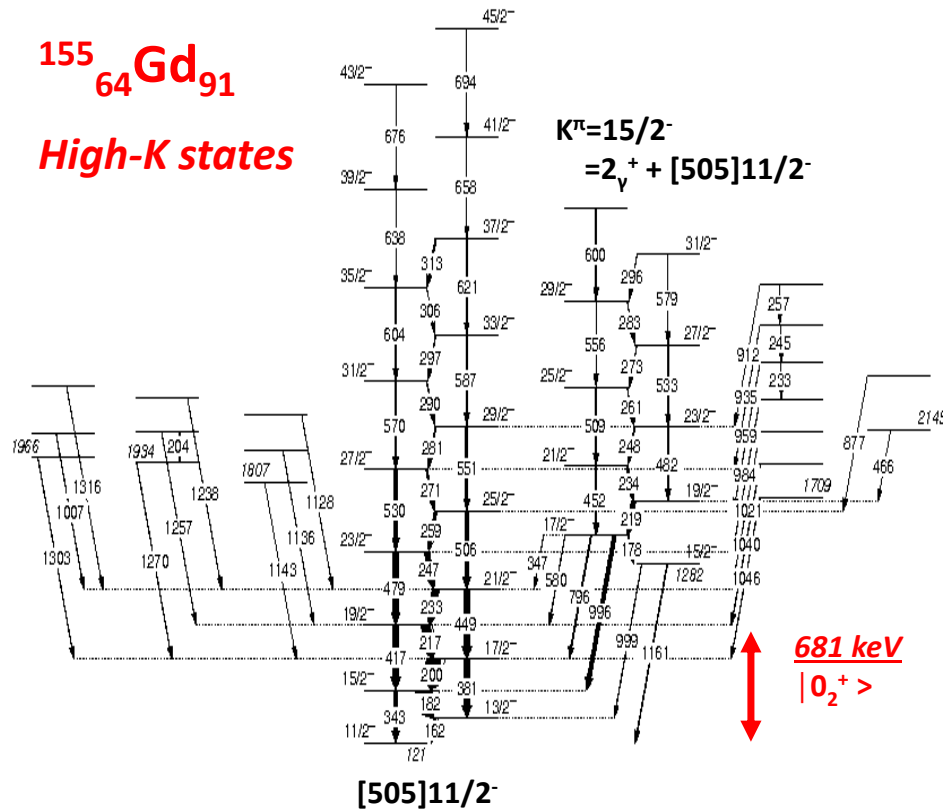




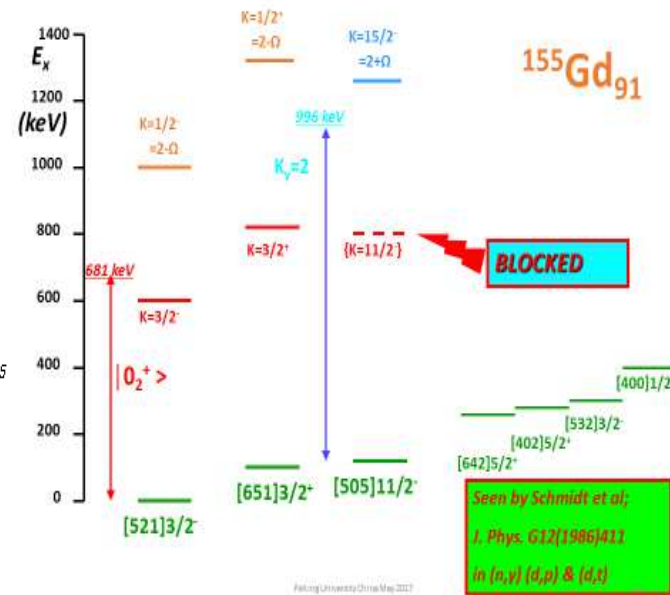
Configuration  
Dependent or  
Quadrupole  
Pairing;  
Assume  
 $\Delta_{pp} \approx \Delta_{oo} \gg \Delta_{op}$



$^{155}_{64}\text{Gd}_{91}$   
High-K states



**JFS-S et al. EPJ A47 (2011) 6**



$\epsilon \approx 0.05 \text{ ??}$

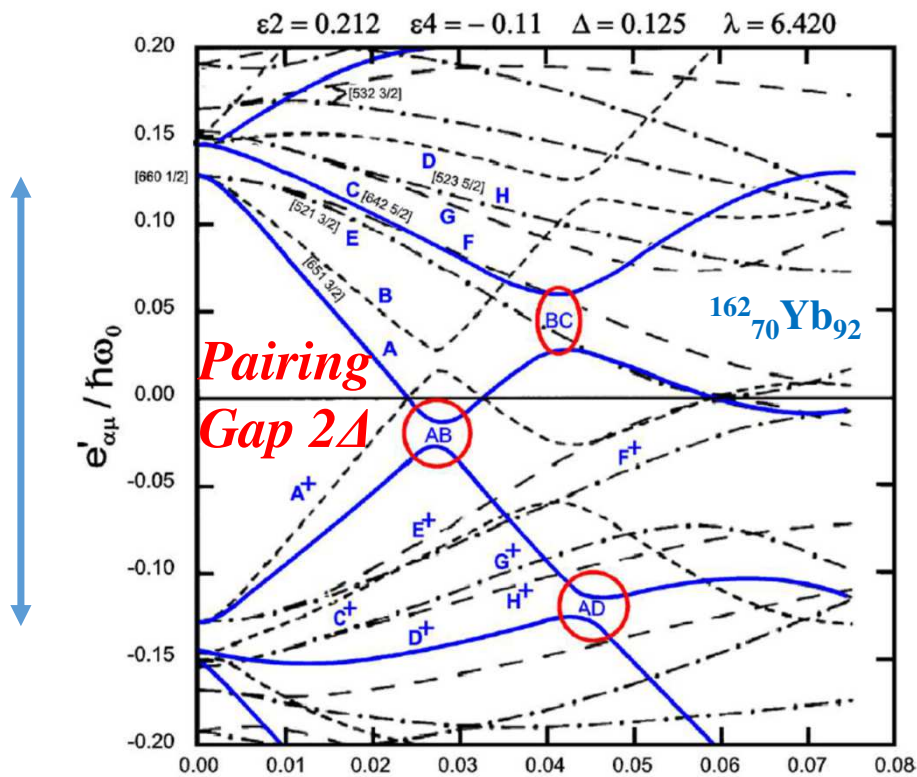
What you really need is  
**SPLIT MONOPOLE PAIRING**

so that

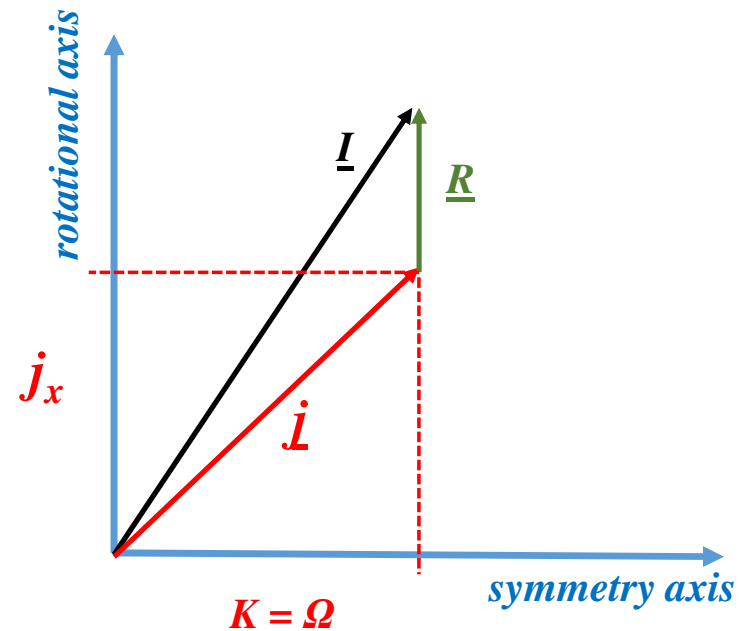
$$-\mathcal{H}_{\text{pairing}} = G_{p-p} \dot{P}_p^\dagger \dot{P}_p + G_{o-o} \dot{P}_o^\dagger \dot{P}_o + \epsilon G_{p-p} \dot{P}_{po}^\dagger \dot{P}_{po}$$

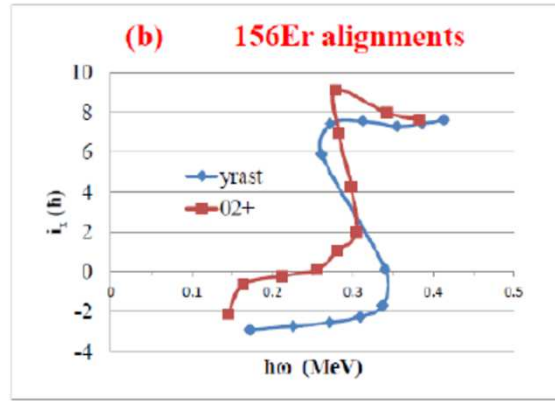
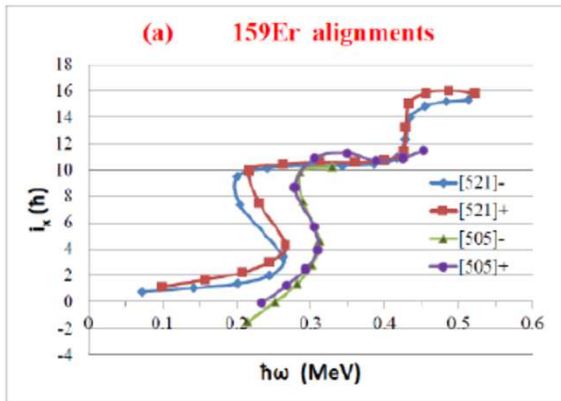
# HOW TO MEASURE THE PAIRING ??

*Use the Cranked Shell Model !!*



*Coriolis term in the Hamiltonian =  $-j_x \omega$*

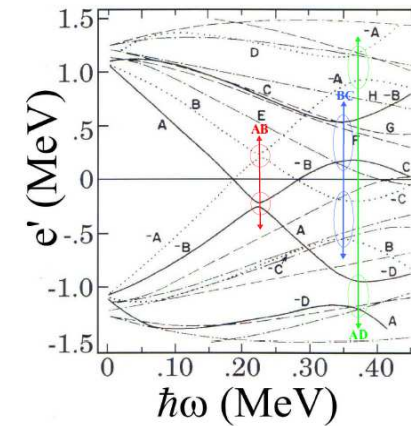
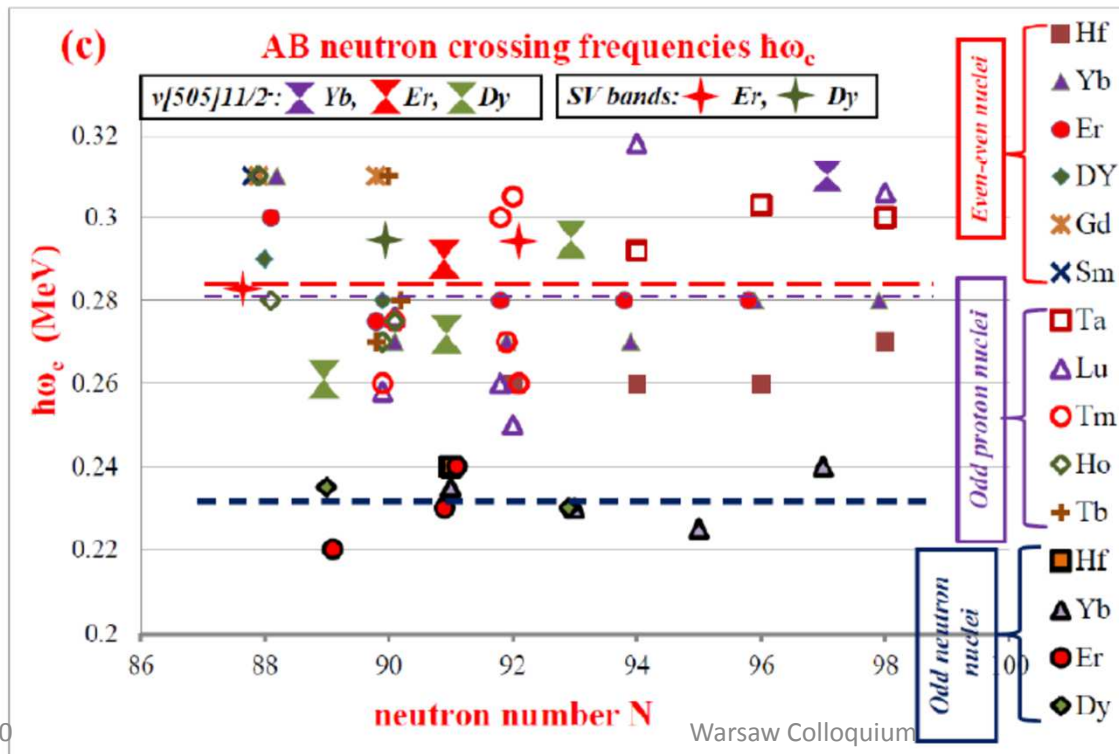




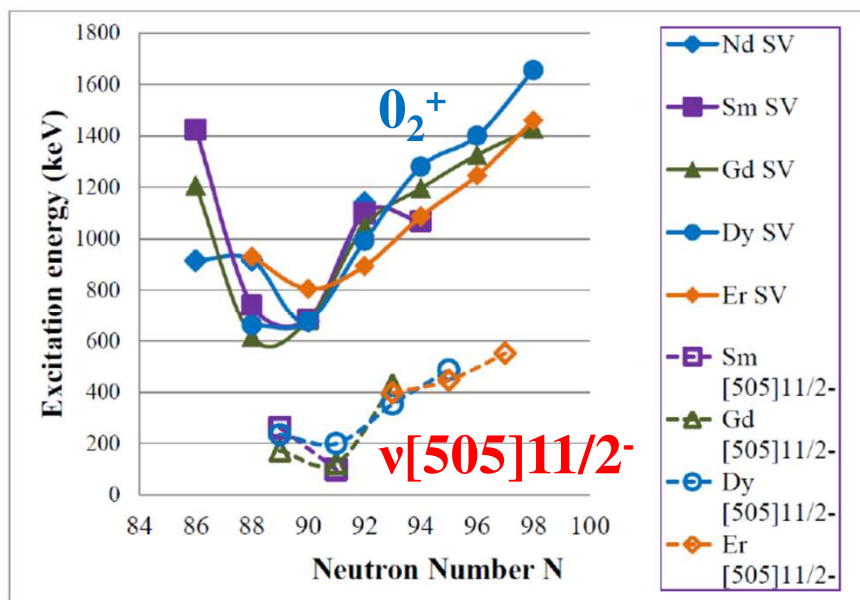
$i_{13/2}$  neutron AB alignments from  $N = 88$  to  $98$  and  $Z = 62$  to  $72$

See:

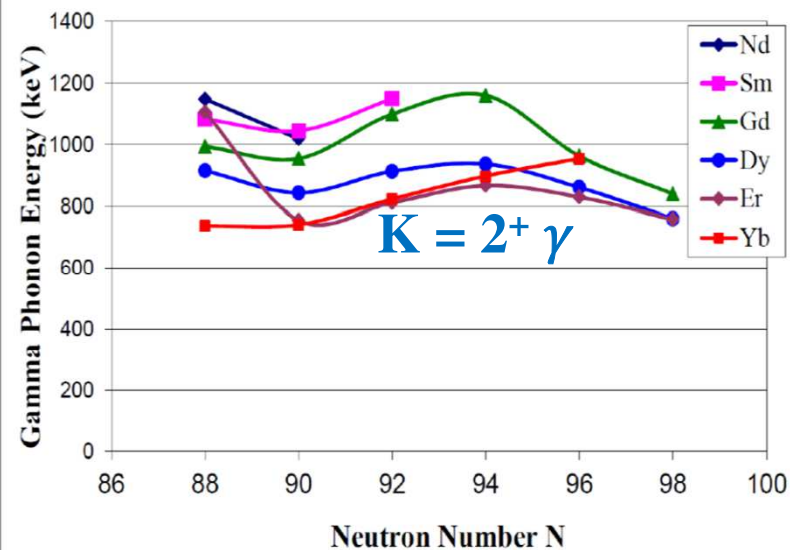
Jerry Garrett et al.  
PL B118 (1982) 297



Cranked Shell Model  
Routhians  $e'$

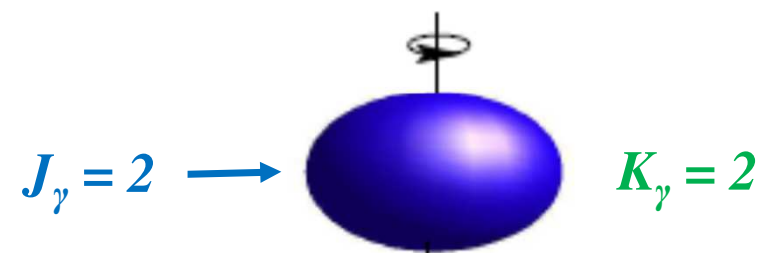
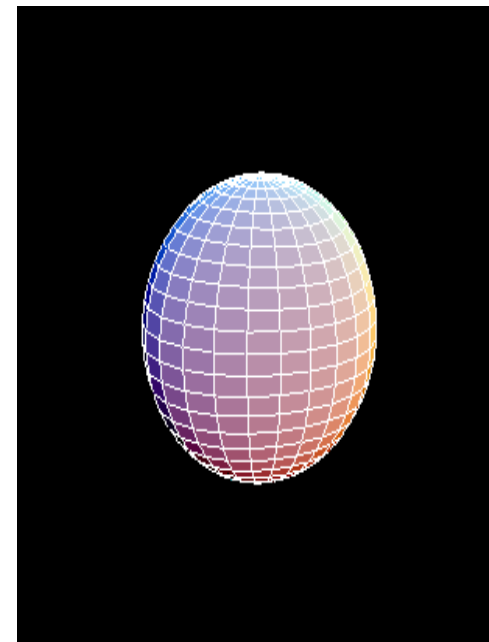
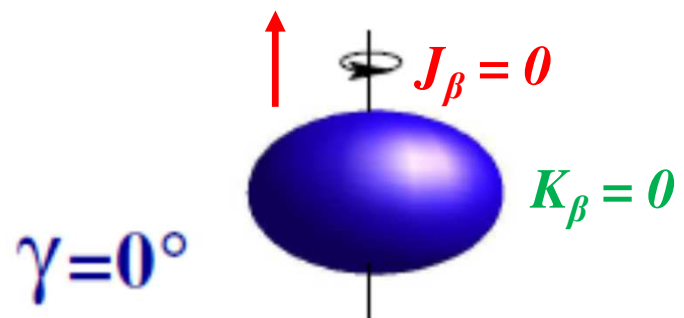
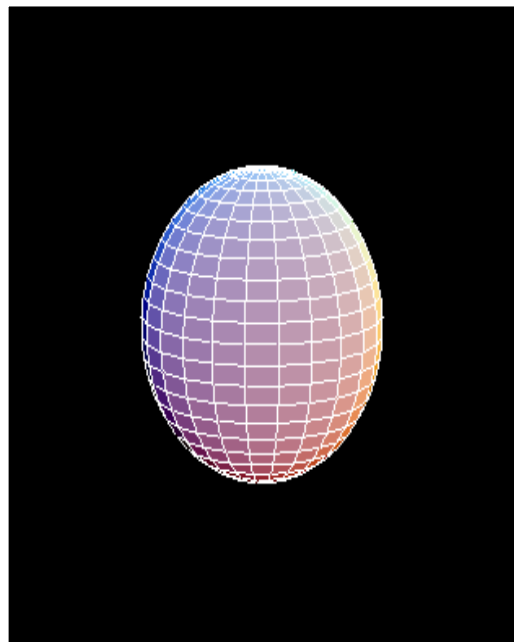


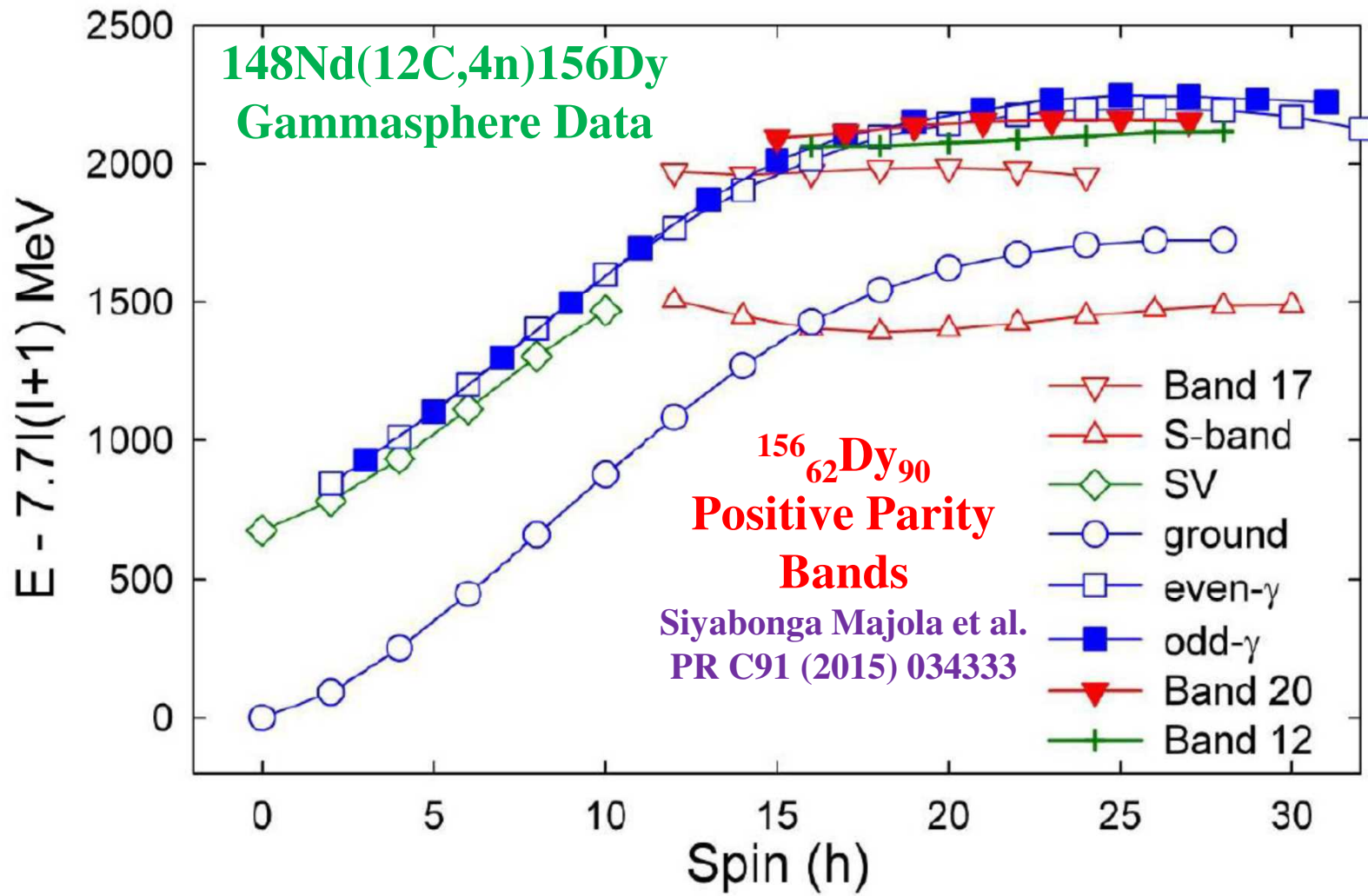
Excitation Energies of  
 $0_2^+$  band-heads and  
 $\nu[505]11/2^-$  isomers

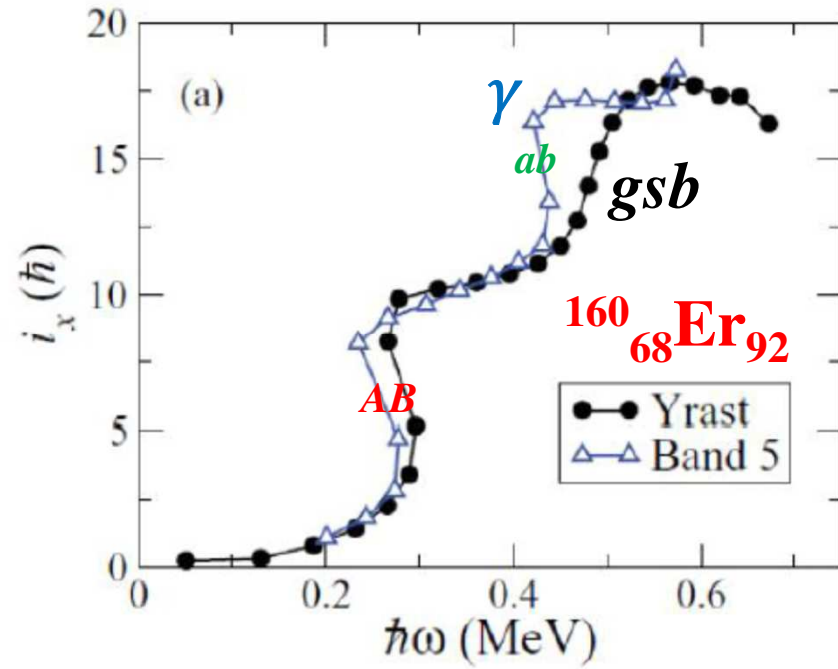
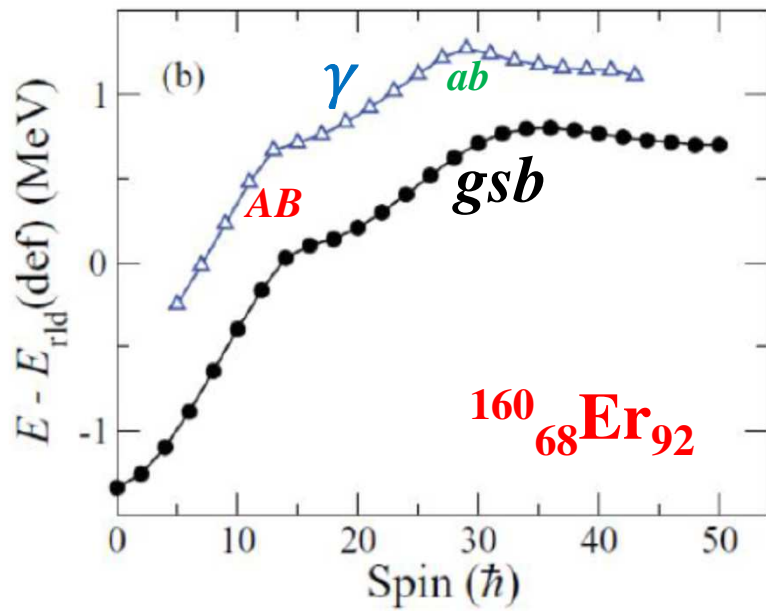


Excitation Energies of  
 $K = 2^+ \gamma$  band-heads

Quantum Number  $K = I_z$  the spin projection on the  $\gamma = 0^\circ$  symmetry axis



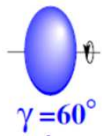
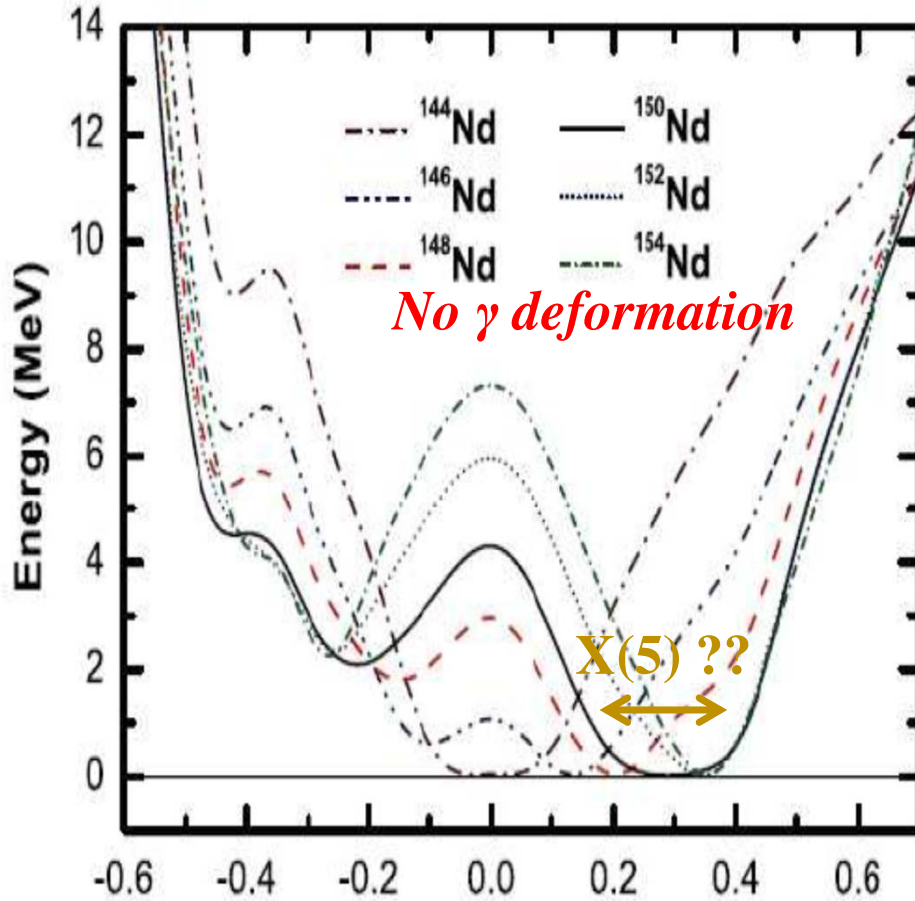




**Tracking of  $\gamma$  band through  $i_{13/2}$  neutron  $AB$  alignment and  $h_{11/2}$  proton alignment  $ab$**   
**Ollier et al. PR C83 (2011) 044309**



Nikšić et al., PRL99 (2007) 092502



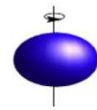
Oblate



$\beta$

Prolate

$\gamma=0^\circ$



You cannot ignore the  $\gamma$  degree of freedom !!

Relativistic

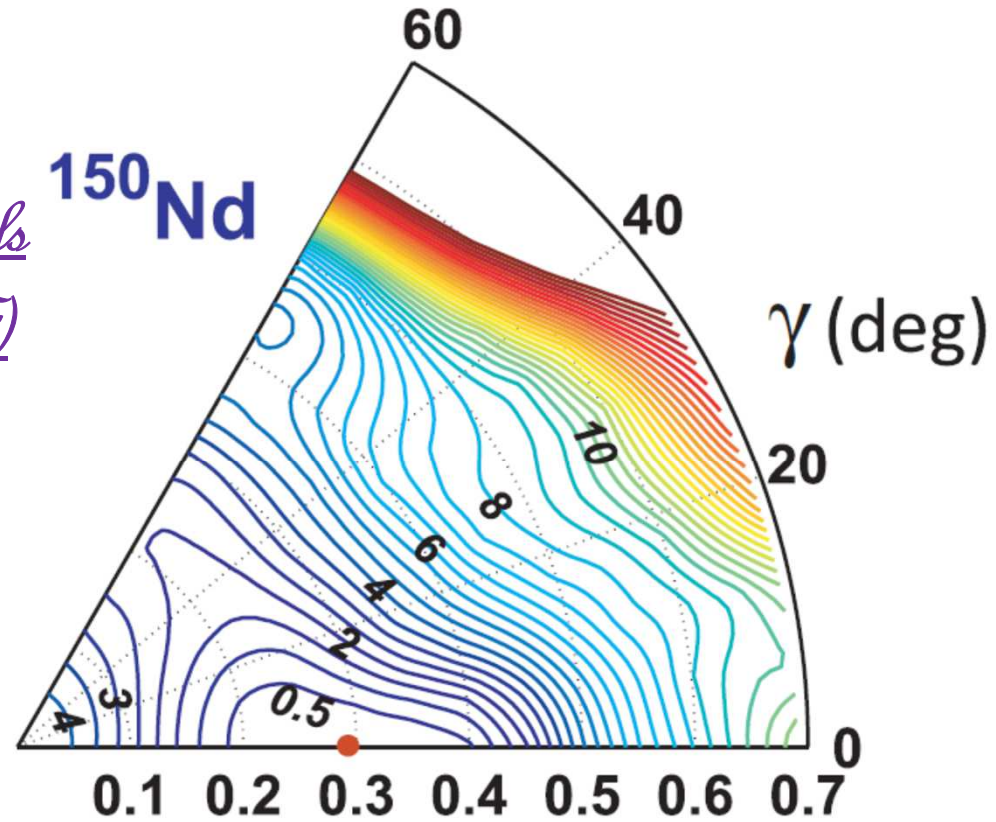
Energy-

Density

Functionals

(REDF)

Li et al., PR C79 (2009) 054301



# Hope in Shell Models ??

DATA FROM

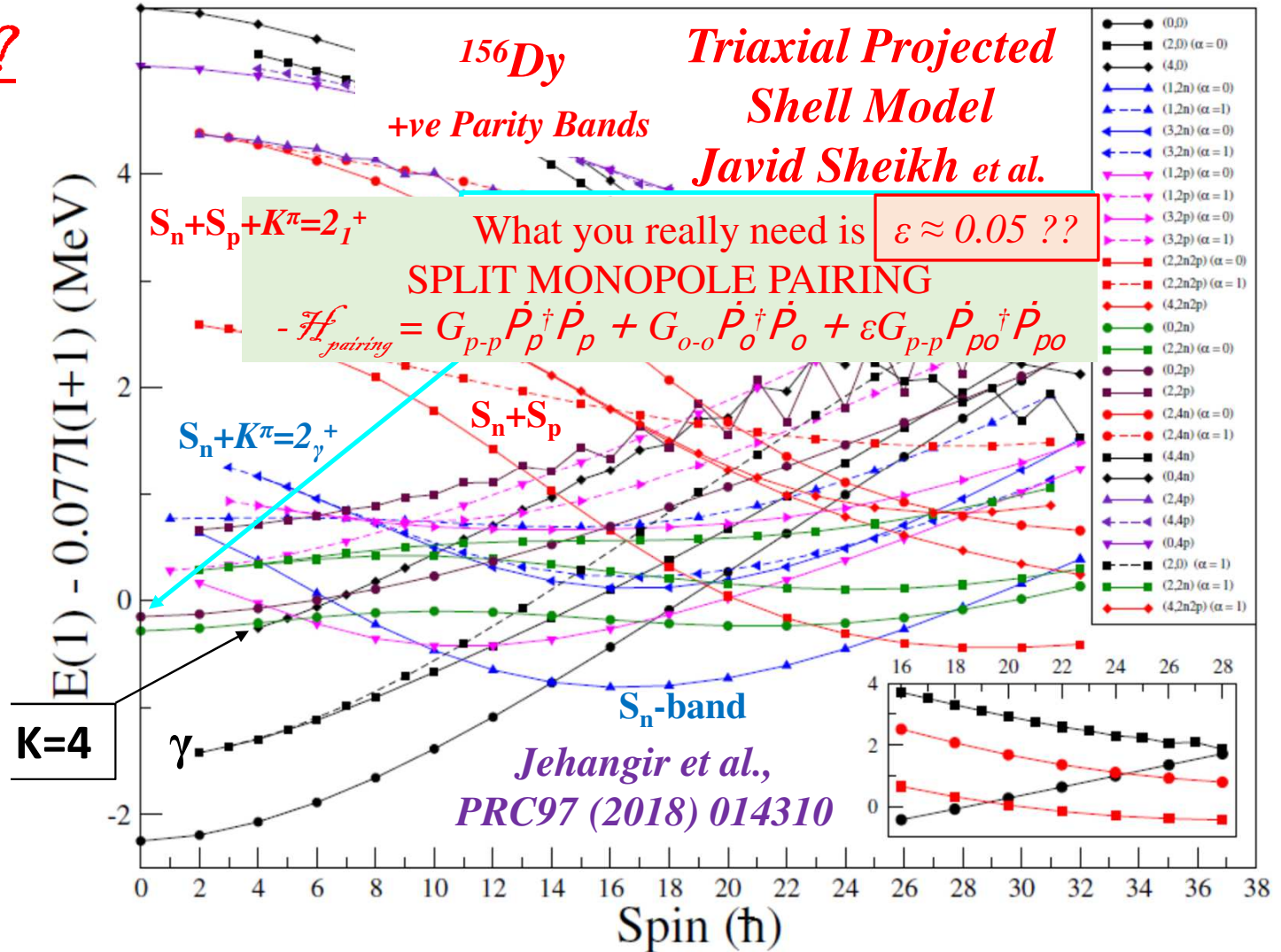
Majola et al. PRC91 (2015) 034330

## TPSM Successes

1. Predicts  $\gamma$  and  $\gamma\gamma$  bands
2. Predicts  $S_n$ -band and  $S_n+S_p$ -band
3. Predicts observed  $\gamma$  band built on  $S_n$ -band
4. Predicts an  $S_n$ -band built on  $0_2^+$
5. Can show components of Wavefunctions

## TPSM Failures

1. Pairing too crude, No Neutron Pairing Isomer  $0_2^+$  too high in Energy
2. Signature Splitting not spot on



**The Bohr Hamiltonian**  
*Uses a 5-D Space ( $\theta, \varphi, \psi, \beta, \gamma$ ) to*  
**Characterize a Macroscopic Nuclear Drop**  
*Rotating and Vibrating in Space*

Quantization is achieved by the usual Pauli p

$$E(\alpha, \dot{\alpha}) = \frac{1}{2} C \alpha^2 + \frac{1}{2} D \dot{\alpha}^2$$

**momentum**  $\pi = \frac{\partial}{\partial \dot{\alpha}} (T - V) = D \dot{\alpha}$

$$H = \frac{1}{2} D^{-1} \pi^2 + \frac{1}{2} C \alpha^2$$

$$E(n) = (n + \frac{1}{2}) \hbar \omega \quad \omega = \left( \frac{C}{D} \right)^{1/2}$$

**quantization**  $[\pi, \alpha] = -i\hbar$

*Bohr & Mottelson II Ch.6*

The

$$\hat{H} = \hat{T}_{\text{vib}} + \hat{T}_{\text{rot}} + V_{\text{coll}},$$

with the vibrational kinetic energy:

$$\hat{T}_{\text{vib}} = -\frac{\hbar^2}{2\sqrt{wr}} \left\{ \frac{1}{\beta^4} \left[ \frac{\partial}{\partial \beta} \sqrt{\frac{r}{w}} \beta^4 B_{\gamma\gamma} \frac{\partial}{\partial \beta} - \frac{\partial}{\partial \beta} \sqrt{\frac{r}{w}} \beta^3 B_{\beta\gamma} \frac{\partial}{\partial \gamma} \right] + \frac{1}{\beta \sin 3\gamma} \left[ -\frac{\partial}{\partial \gamma} \sqrt{\frac{r}{w}} \sin 3\gamma B_{\beta\gamma} \frac{\partial}{\partial \beta} + \frac{1}{\beta} \frac{\partial}{\partial \gamma} \sqrt{\frac{r}{w}} \sin 3\gamma B_{\beta\beta} \frac{\partial}{\partial \gamma} \right] \right\}, \quad (1)$$

who  
are  
frat

and rotational kinetic energy:

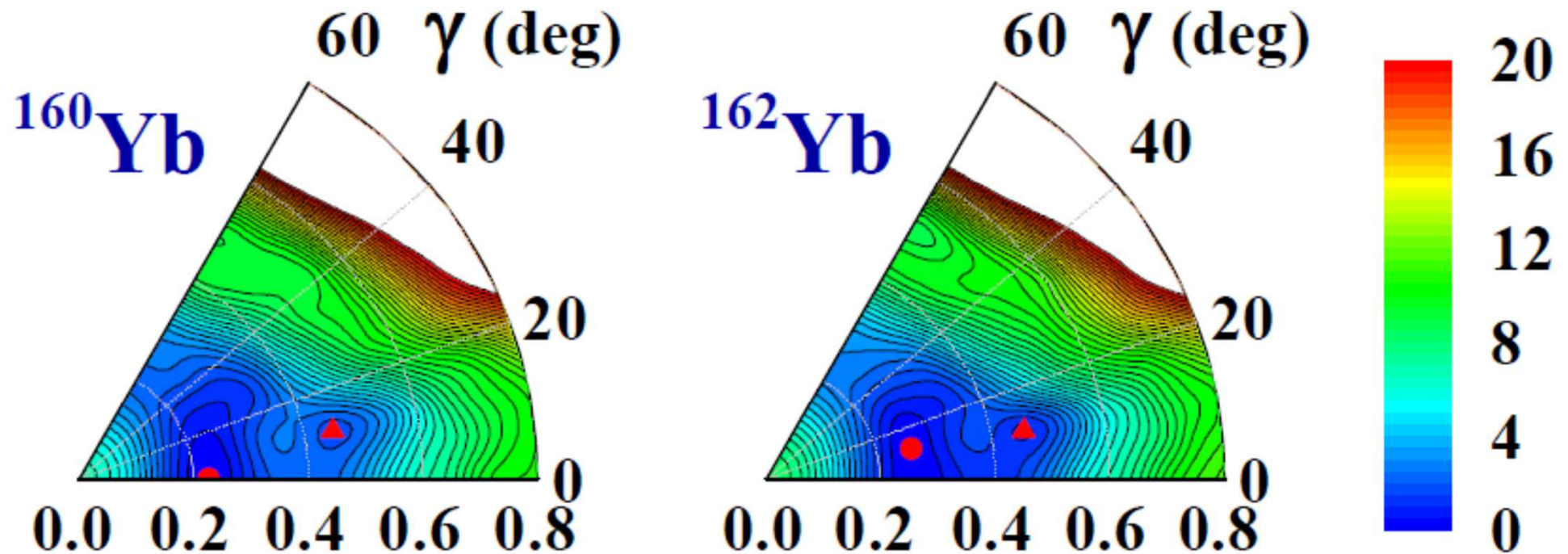
$$\hat{T}_{\text{rot}} = \frac{1}{2} \sum_{k=1}^3 \frac{\hat{j}_k^2}{\mathcal{I}_k}.$$

(1)

$Q_k$   
asic

Li et al., PR C79 (2009) 054301

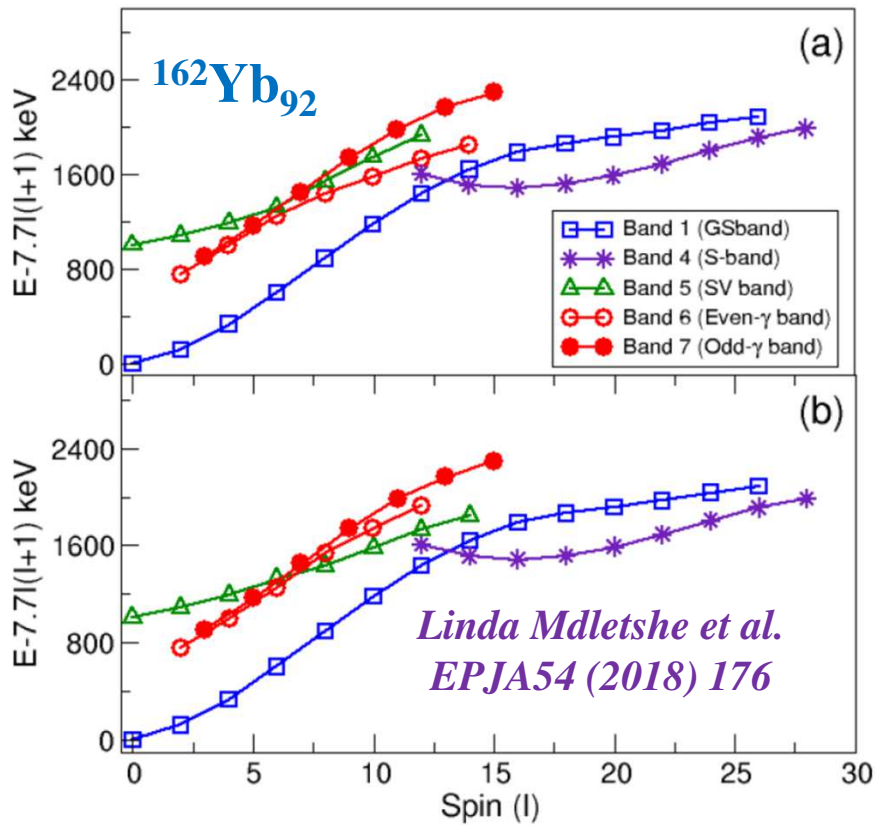
*Can you calculate  $V(\beta, \gamma)$  and use Bohr-Type Hamiltonians to calculate the level structure ??*



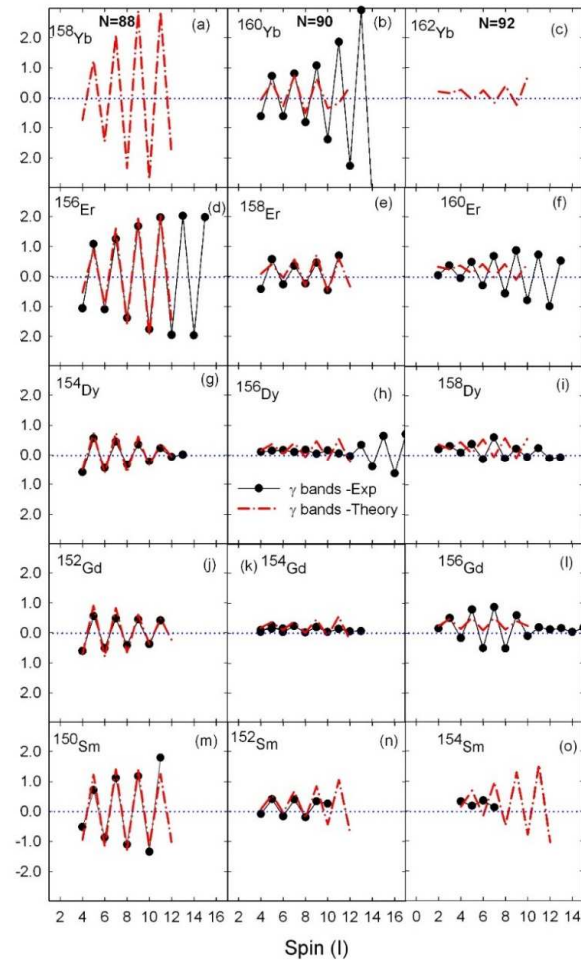
S. N. T. Majola et al., Phys. Rev. C100, 044321 (2019)

*Majola et al., PRC100, 044324 (2019) +*  
*Zhi Shi, Zhipan Li, Shuangquan Zhang et al.*

*South Africa (Experiments) – China (5-DCH+CDFT)*

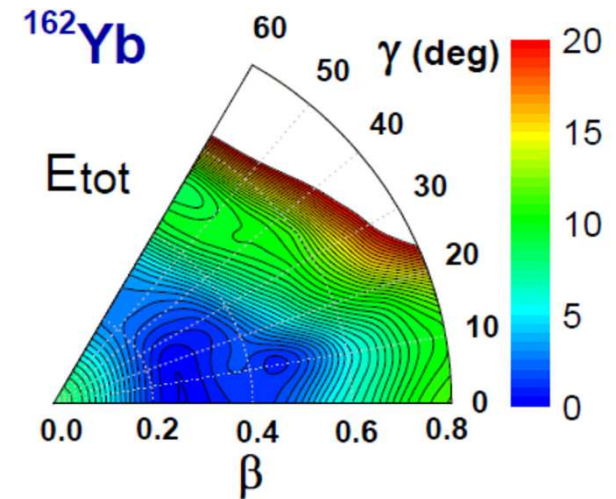


4th June 2020



**Signature Splitting**  

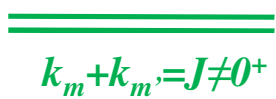
$$S(I) = \frac{[E(I) - E(I-1)] - [E(I-1) - E(I-2)]}{E(2_1^+)}$$
**Due to BAND MIXING !!**



29

*“Normal”  
Monopole  
Pairing  
eg. Prolate  
orbitals*

*Pairing  
Isomer  
eg. Oblate  
orbitals*



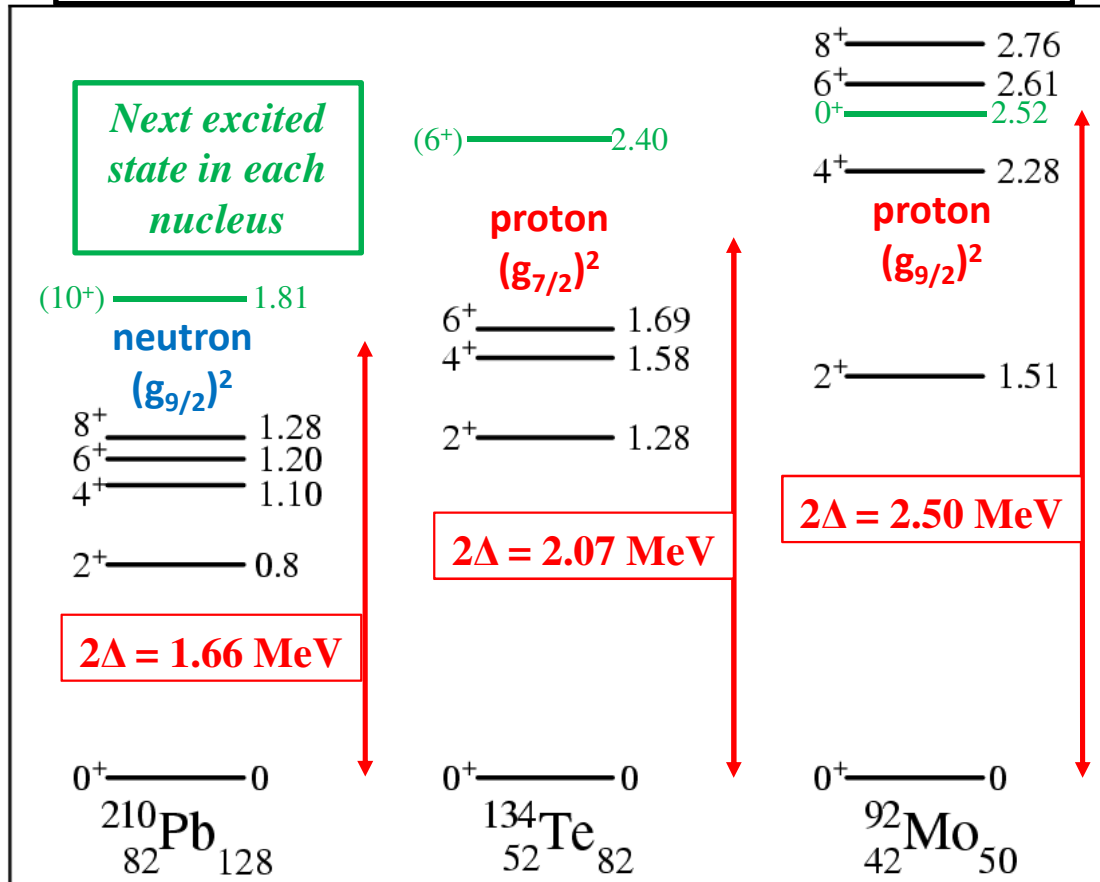
**Pairing Gap =  $2\Delta$**

**Pairing Gap =  $2\Delta$**

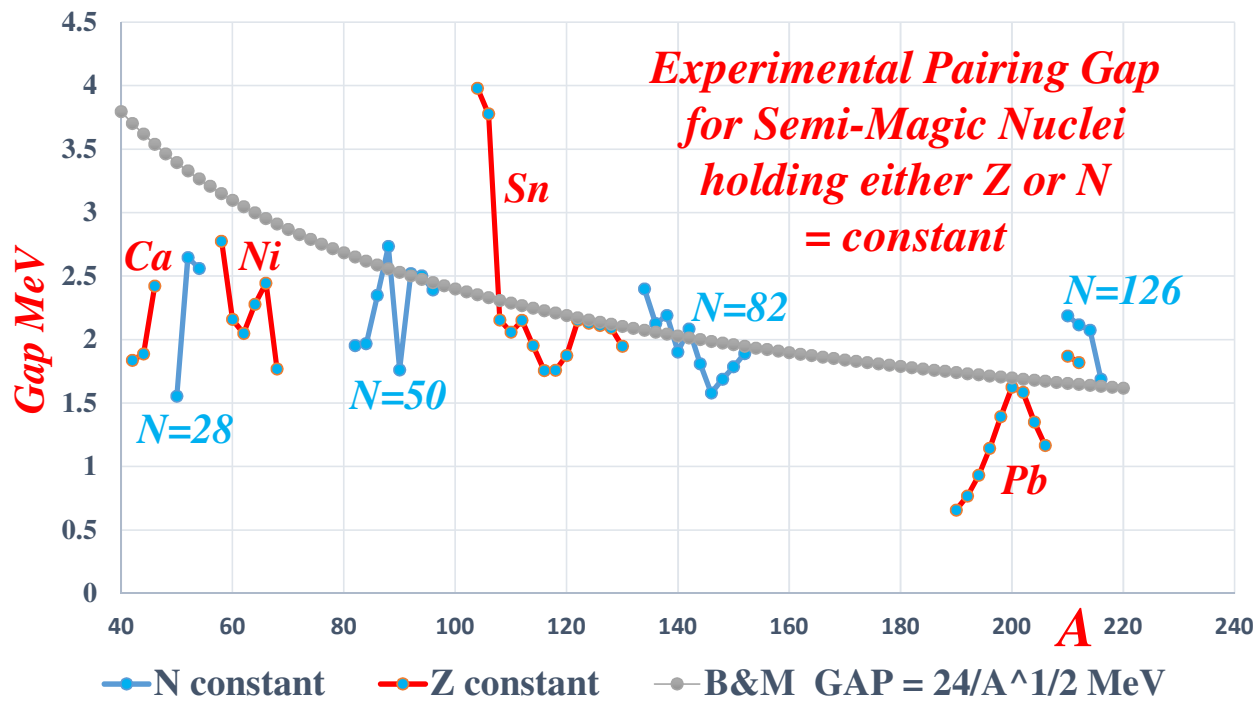
$j_m + j_{-m} = J = 0^+$

$k_m + k_{-m} = J = 0^+$

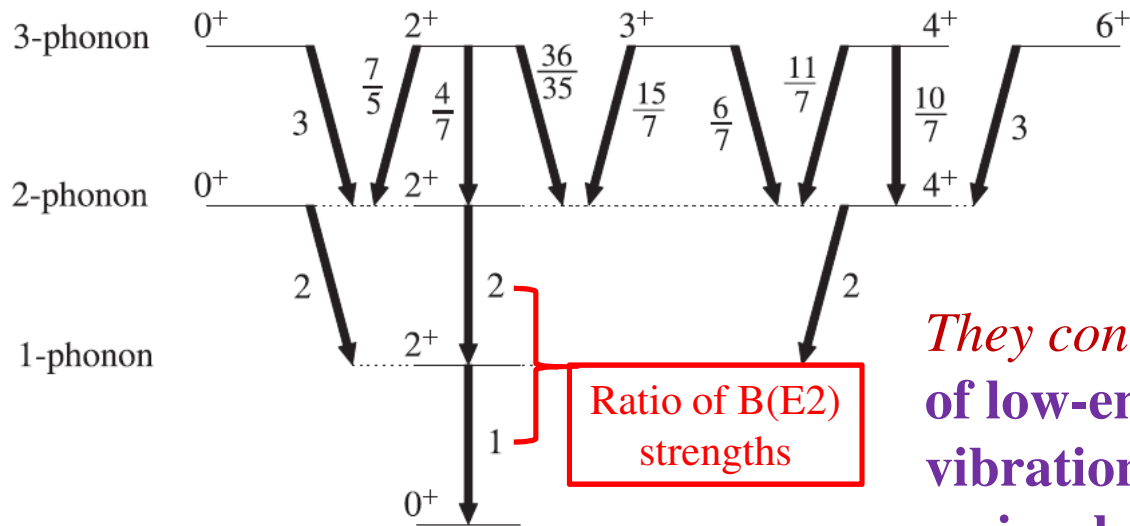
**Experiment: Two nucleons outside closed shells**



**Pairing Energy  $\Delta \approx 12/A^{1/2} \text{ MeV}$   
From Bohr and Mottelson**



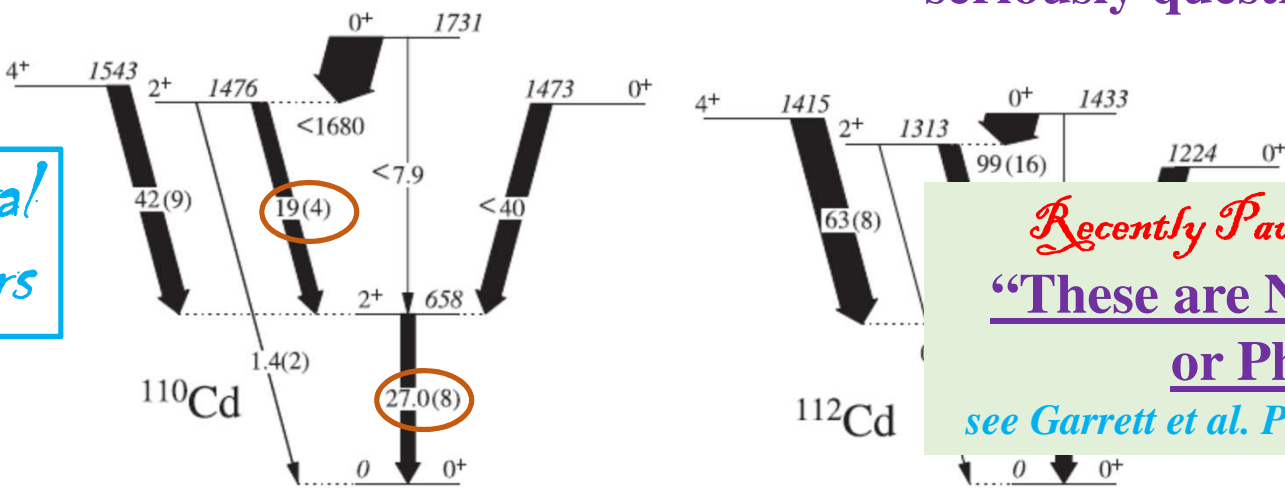
*Ideal Spherical Vibrator*



Taken from:  
Garrett, Wood and Yates,  
*Physica Scripta* 93  
(2018) 063001

*They conclude: "...the existence of low-energy quadrupole vibrations in nuclei must be seriously questioned."*

*Best Experimental Spherical Vibrators*



*Recently Paul Garrett told us:*  
**"These are NOT Vibrations or Phonons"**  
*see Garrett et al. PRL123, 142502 (2019)*



**Advanced Monte Carlo**  
**Shell Model**

TAKAHARU OTSUKA  
*NuSpin2018 Valencia*



Otsuka et al., PRL123, 222502 (2019)

“Type II shell evolution is a simplest and visible case of

***“QUANTUM SELF ORGANIZATION”***

Atomic nuclei can “organize” their single-particle energies by taking particular configurations of protons and neutrons optimized for each eigenstate, thanks to orbit-dependences of monopole components of nuclear forces (e.g., tensor force).

→ an enhancement of Jahn-Teller effect.

Nilsson-type effects can be enhanced by this optimization.

$$\text{deformation} = \frac{\text{quadrupole force}}{\text{resistance power}}$$

← pairing force

← single-particle energies

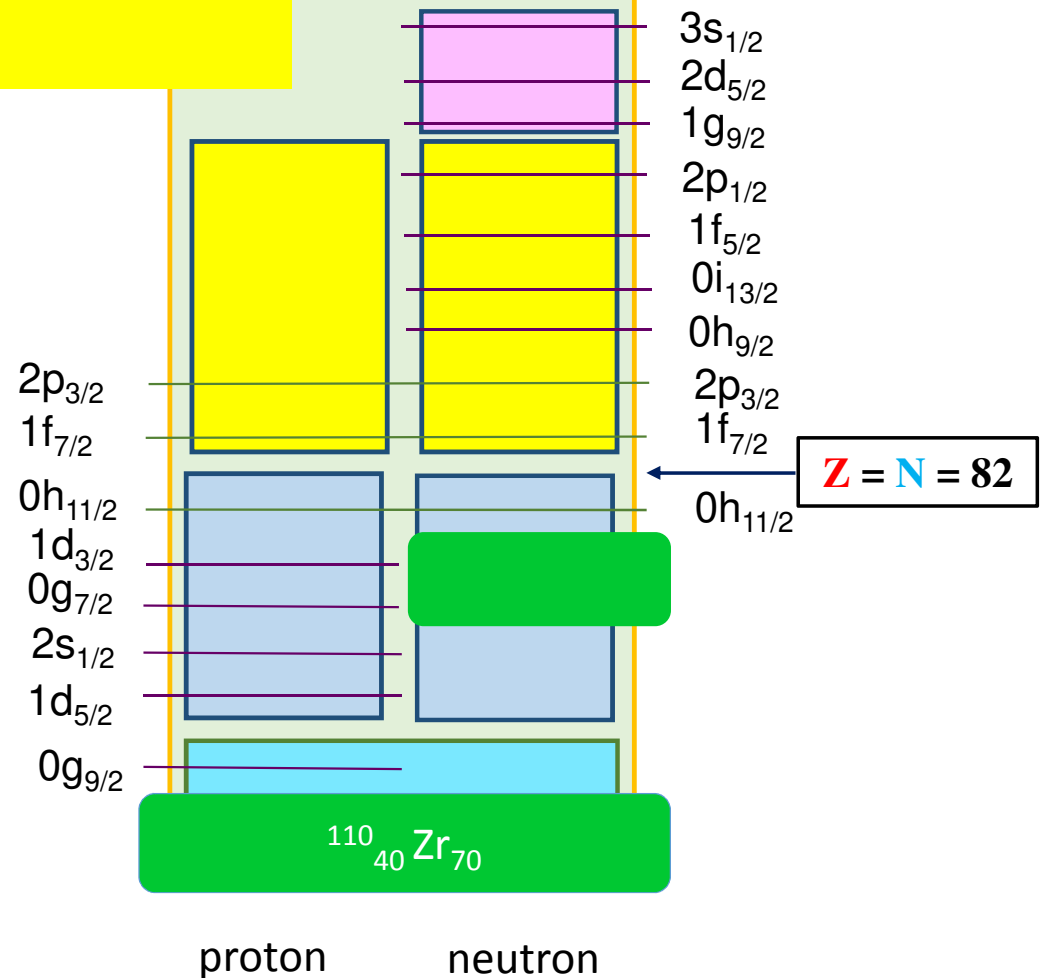
# Monte Carlo Shell Model using $^{110}_{40}\text{Zr}_{70}$ Core, Single Particle Energies (SPE) from $^{123}_{51}\text{Te}_{82}$ and $^{123}_{50}\text{Sn}_{83}$

Calculates  
 $^{154}_{62}\text{Sm}_{92}$  and  $^{166}_{68}\text{Er}_{98}$

- Effective interaction:  
G-matrix\* +  $V_{\text{MU}}$
- \* Brown, PRL 85, 5300 (2000)

Nucleons are excited fully  
within this model space  
(no truncation)

We performed **Monte Carlo Shell Model (MCSM)** calculations, where the largest case corresponds to the diagonalization of  $3.9 \times 10^{31}$  **dimension** matrix.



with  
Yusuke Tsunoda



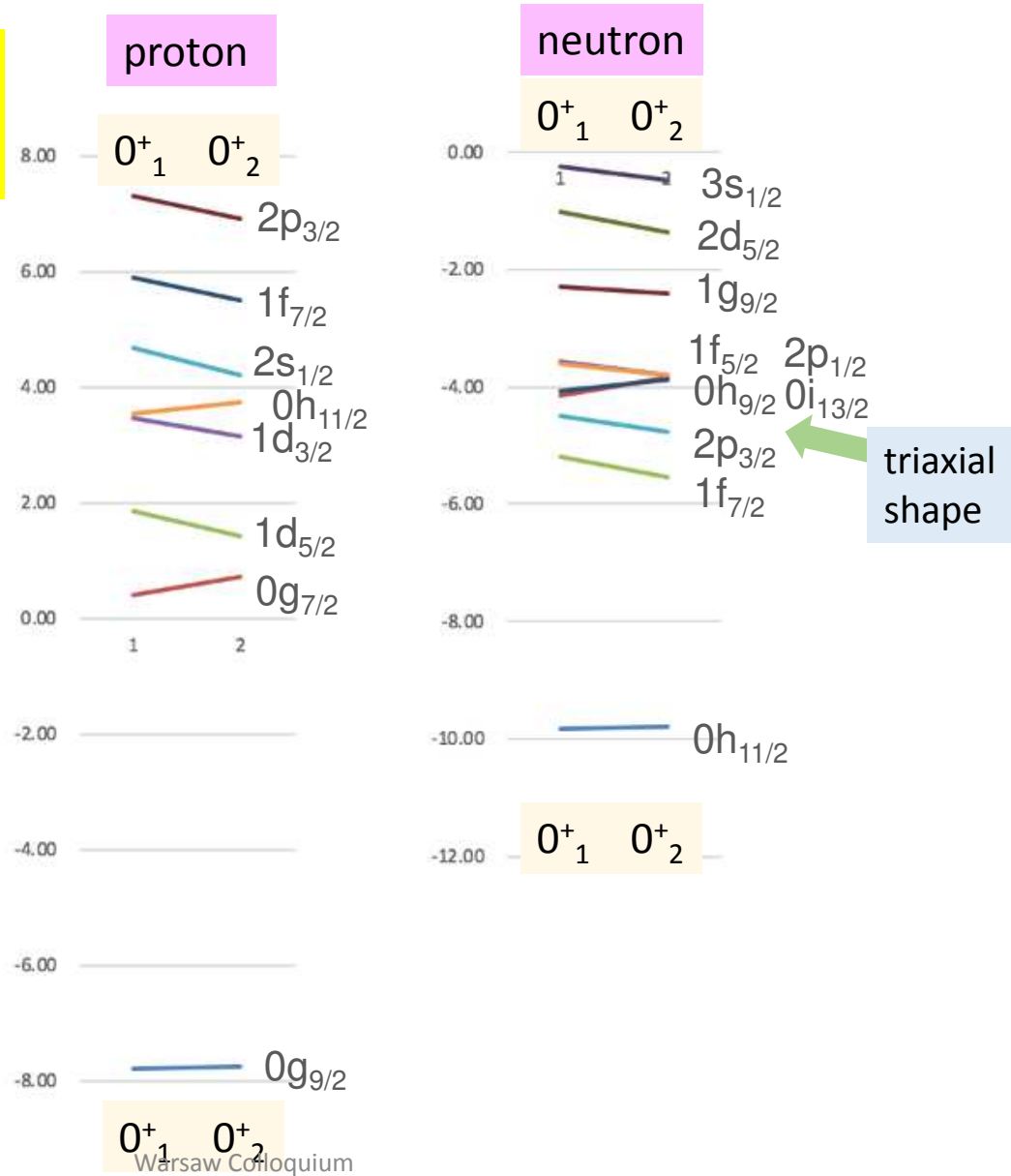
Otsuka et al.,  
PRL123,  
222502  
(2019)

# Effective Single-Particle Energies (ESPE)

**$^{154}\text{Sm}$**   
 $0^+_1$  and  $0^+_2$   
 eigenstates

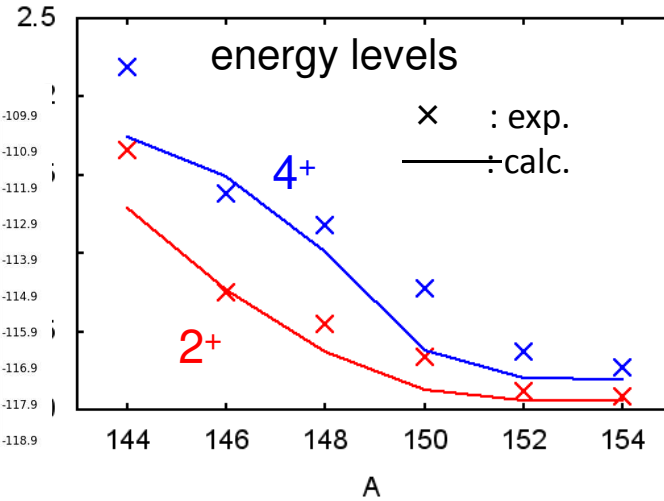
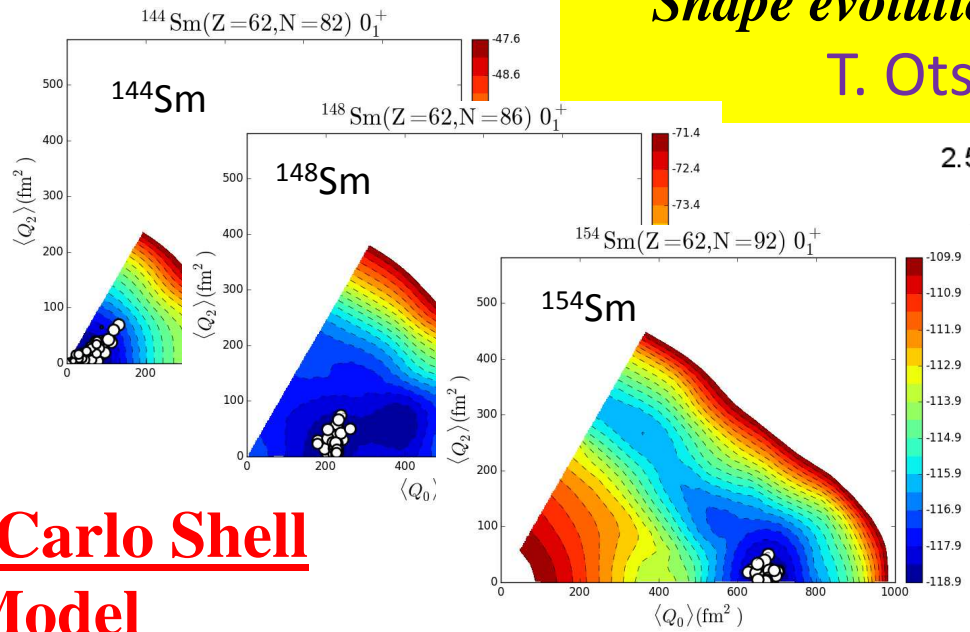
$0^+_1$  prolate  
 $0^+_2$  triaxial

ESPEs show very different patterns between eigenstates



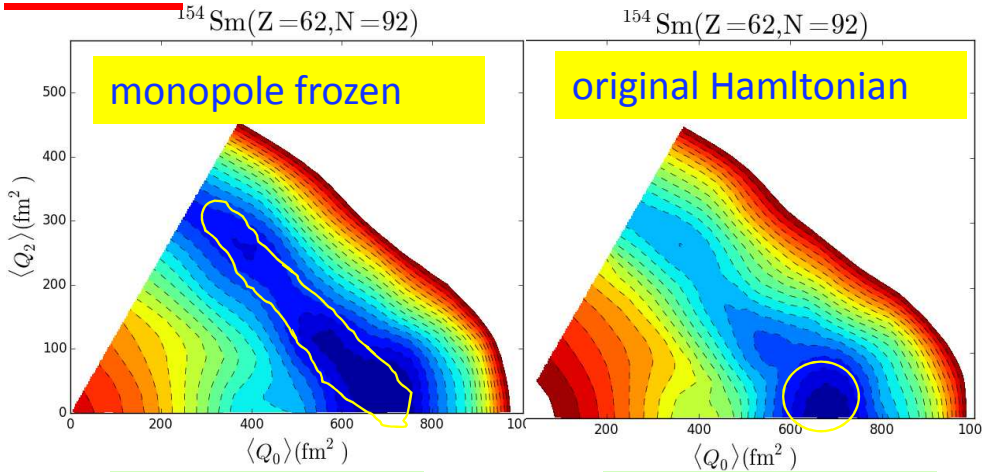
# Shape evolution in Sm isotopes (very preliminary)

T. Otsuka NuSpin2018 Valencia



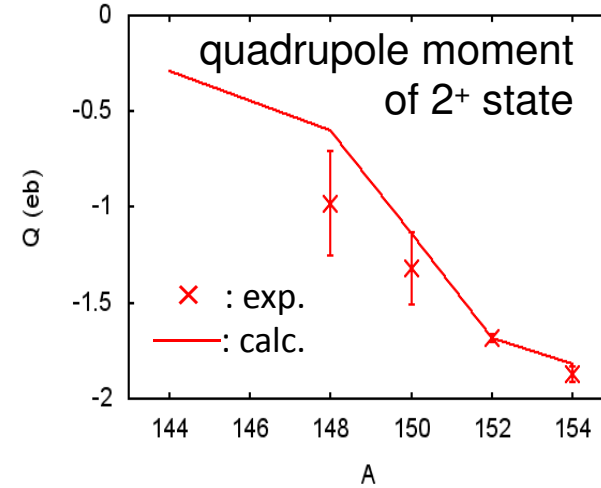
## Monte Carlo Shell Model

### Model

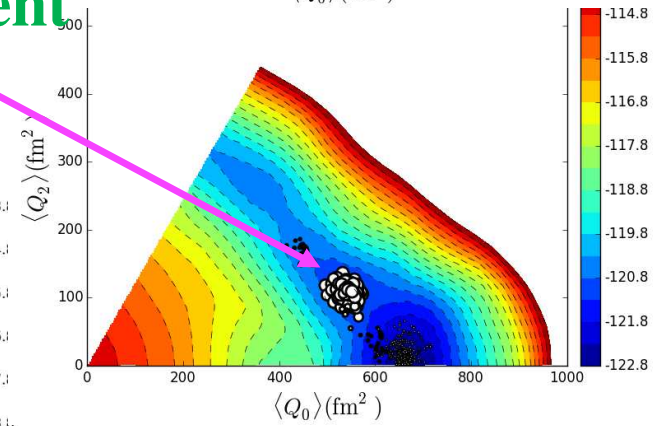
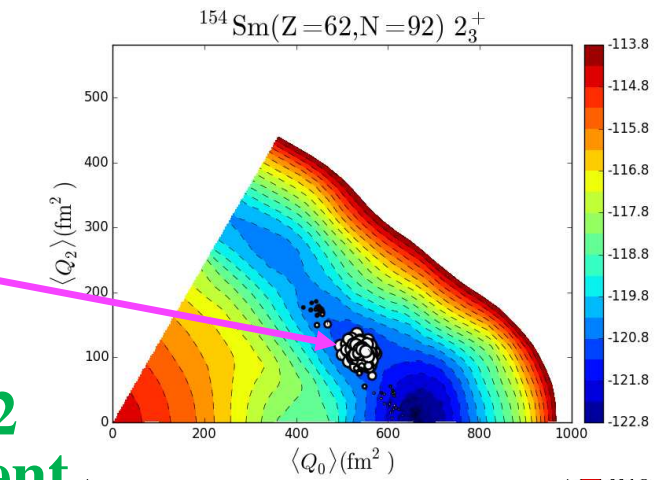
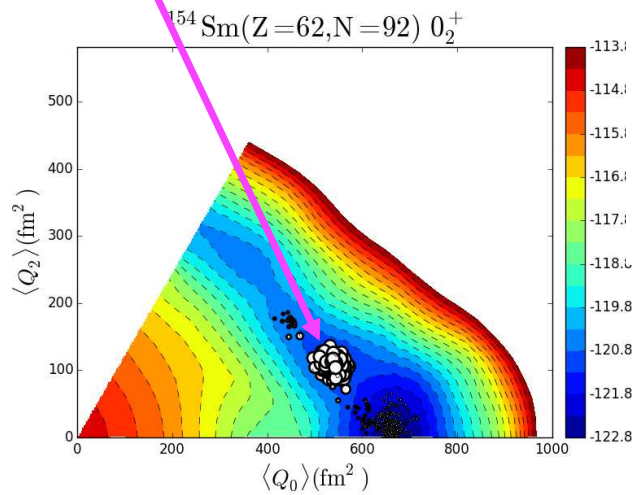
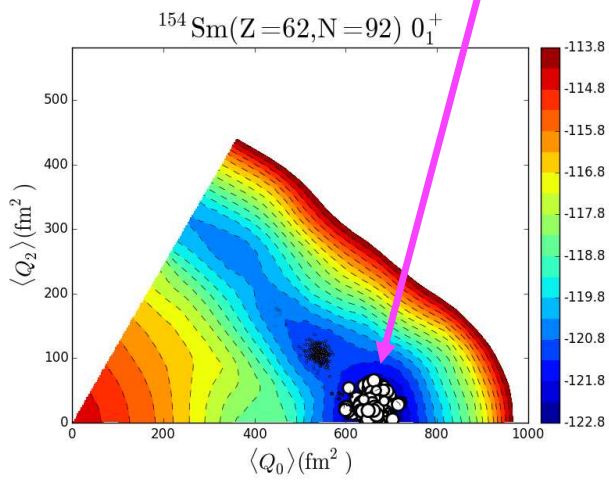
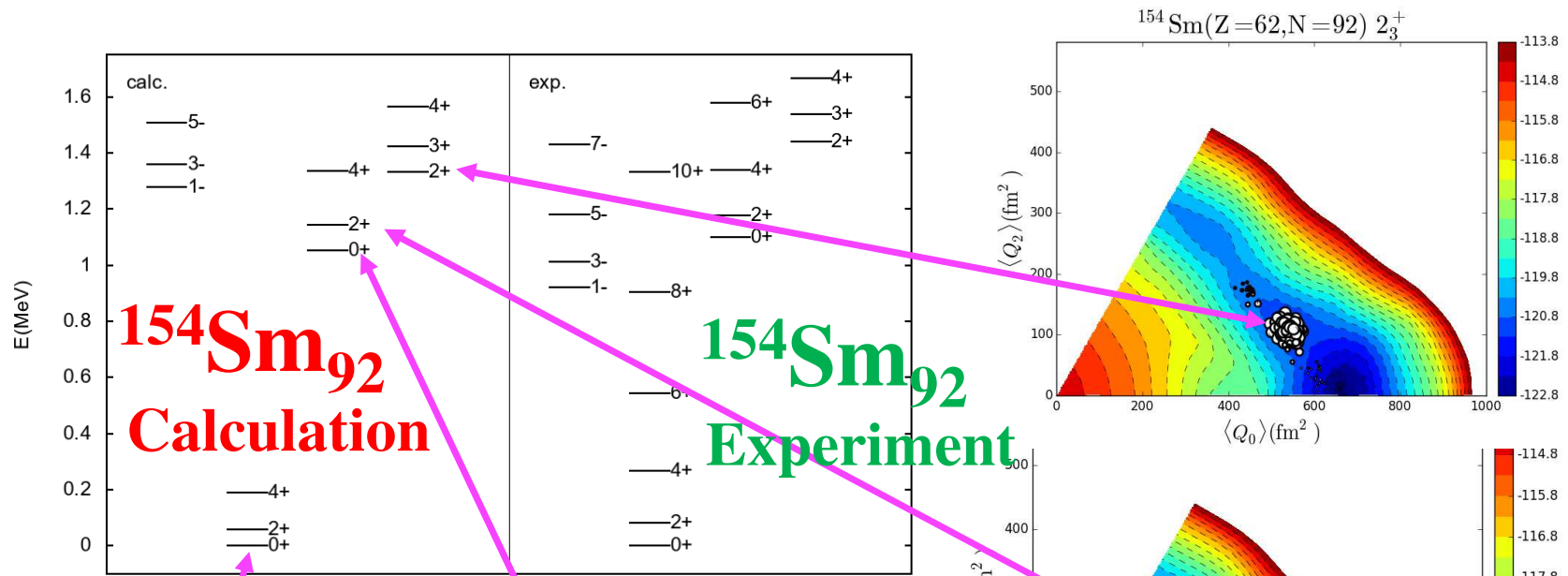


strong triaxiality

prolate minimum



A



Otsuka et al., PRL123, 222502 (2019)

## Summary

Nuclear forces are rich enough to optimize single-particle energies for each eigenstate (especially in the cases of collective-mode states), as referred to as **quantum self-organization**. It produces sizable effects with

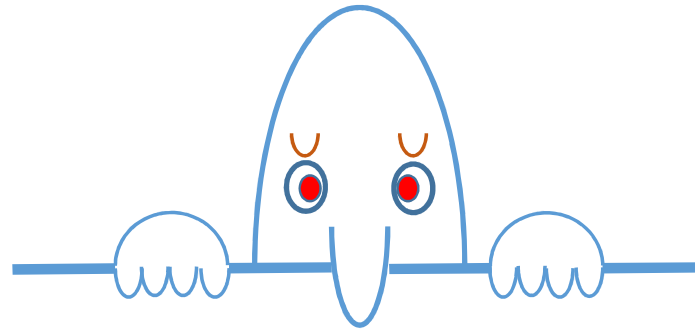
- (i) **two quantum fluids** (protons and neutrons),
- (ii) **two major forces** : e.g., quadrupole interaction to **drive collective mode**  
monopole interaction to **control resistance**

*This feature fits well the general concept of the self organization.*

**“The  $0^+_2$  and  $2^+_{2,3}$  may not be members of  $\beta$  or  $\gamma$  vibration, but are triaxially deformed states with stronger fluctuation.”**

Effective Single Particle Energies show different patterns to produce such shapes.

LASTLY



*What, NO Vibrations ??*

*or Phonons or Bosons ???!*